

DATE: 20TH NOVEMBER, 2020

To

Manager - Listing Department National Stock Exchange of India Limited Exchange Plaza, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai-400051

REF: WEALTH FIRST PORTFOLIO MANAGERS LIMITED

SCRIP CODE: WEALTH

SUB.: SUBMISSION OF SCRUTINIZER'S REPORT AND VOTING RESULTS OF THE POSTAL BALLOT UNDER REGULATION 44 OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

Dear Sir,

Pursuant to the Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management And Administration) Rules, 2014 as amended by The Companies (Management And Administration) Rules, 2015 and Section 110 of the Companies Act, 2013, we are submitting herewith the Scrutinizer's Report and Voting Results of Postal Ballot which was conducted only through electronic means for the business contained in the Postal Ballot Notice dated October 16, 2020 issued by the Company.

Based on the Scrutinizer's Report, the business item as mentioned in the Notice of the Postal Ballot has been passed as a Special Resolution with requisite majority.

You are requested to kindly take the note of the above and display the same on the website of the exchange.

Thanking you,

Yours faithfully,

FOR AND ON BEHALF OF WEALTH FIRST PORTFOLIO MANAGERS LIMITED

ASHISH SHAH

MANAGING DIRECTOR

DIN: 00089075

Enclosed: A/a

CIN - L67120GJ2002PLC040636



General information about Company								
NSE Scrip Code	Wealth							
ISIN	INE658T01017							
Name of the Company	Wealth First Portfolio Managers							
	Limited							
Type of Meeting	Postal Ballot							
Date of meeting/ last date of receipt of postal ballot forms	18/11/2020							
(in case of Postal Ballot)								
Start time of meeting	N.A							
End time of meeting	N.A							

Scrutinizer Details						
Name of the Scrutinizer	Kunal Sharma					
Firm Name	M/s Kunal Sharma & Associates					
Qualification	CS					
Membership No	F10329					
Date of Board Meeting in which appointed	16/10/2020					
Date of Issuance Report to the Company	20/11/2020					

Voting Results	
Record Date	16/10/2020
Total No. of shareholders on Record Date	236
No. of shareholders present in the meeting either in	
person or through proxy	
a) Promoter and Promoter Group	N.A
b) Public	N.A
No. of shareholders attended the meeting through video	
conferencing	
a) Promoter and Promoter Group	N.A
b) Public	N.A
No. of Resolution passed in the meeting	1





Agenda- wise disclosure

Item No: 01 (Special Resolution)

Resolution required: (Special Resolution)	Migration of the Company from SME Platform of National Stock Exchange of India Limited to the Main Board of National Stock Exchange Of India Limited.										
Whether promoter/ promoter group are interested in the agenda/resolution?	None of the pro	None of the promoter/promoters group was interested in the resolution.									
Category	Mode of Voting	No. of Shares held (1)	No. of votes polled (2)	% of votes polled on outstan ding shares (3)=[(2) /(1)]*1 00	No of Votes in favour	No of Votes - against	% of Votes in favour on votes polled (6)=[(4) /(2)]*1 00	% of Votes against on votes polled (7)=[(5) /(2)]*1 00			
Duomotou and	E-Voting	70 40 747	78,49,747	100	78,49,747	0	100	0			
Promoter and	Ballot Paper	78,49,747	0	0	0	0	0	0			
Promoter Group	Total	78,49,747	78,49,747	100	78,49,747	0	100	0			
	E-Voting	0	0	0	0	0	0	0			
Public Institutions	Ballot Paper	U	0	0	0	0	0	0			
	Total	0	0	0	0	0	0	0			
Public Non	E-Voting	28,05,253	2,20,000	7.84	2,20,000	0	100	0			
Institutions	Ballot Paper	40,03,433	0	0	0	0	0	0			
msututions	Total	28,05,253	2,20,000	7.84	2,20,000	0	100	0			
Total		1,06,55,00 0	80,69,747	75.74	80,69,747	0	100	0			
				Whethe	r resolution is p	ass or not	Y	es			

Details of Invalid Votes							
Category No. of votes							
Promoter or Promoter Group	0						
Public Institutions	0						
Public -Non Institutions	0						

FOR AND ON BEHALF OF WEALTH FIRST PORTFOLIO MANAGERS LIMITED

ASHISH SHAH

MANAGING DIRECTOR

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DIN: 00089075

Date: 20/11/2020 Place: Ahmedabad



Kunal Sharma & Associates

Company Secretaries

SCRUTINIZERS' REPORT ON THE POSTAL BALLOT PROCESS CONDUCTED THROUGH REMOTE E-VOTING

Pursuant to Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014.

20th November, 2020

To The Chairman Wealth First Portfolio Managers Limited Capitol House, 10 Paras-II, Near Campus Corner, Prahaladnagar, Anand Nagar, Ahmedabad, Gujarat - 380051

Sub: Scrutinizer's report on Postal Ballot Process conducted through Remote E-voting

Dear Sir,

I, Kunal Sharma, Practicing Company Secretary (Membership No: F10329), Proprietor of M/s Kunal Sharma & Associates, Company Secretaries, Ahmedabad was appointed as the Scrutinizer by the Board of Directors of Wealth First Portfolio Managers Limited (CIN: L67120GJ2002PLC040636) (ISIN: INE658T01017) ("the Company") for scrutinizing Postal Ballot which was conducted only through electronic means in respect of the resolution contained in the Notice dated 16th October, 2020 issued by the Company in a fair and transparent manner and for ascertaining the requisite majority for the resolution proposed to be passed in compliance to the provisions of Section 110 of the Companies Act, 2013 ("the Act") read with Rule 20 & 22 of the Companies (Management and Administration) Rules (as amended) and in terms of the General Circular No. 14/2020, 17 /2020 and 33/2020 dated April 8, 2020, April 13, 2020 and September 28, 2020 respectively (hereinafter referred to as the "MCA Circulars"), issued by the Ministry of Corporate Affairs, Government of India (the "MCA"), Regulation 277 and other applicable provisions of the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018, as amended ("SEBI ICDR Regulations") and Regulation 44 of Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015, as amended, ["SEBI Listing Regulation"] on the resolution as mentioned below:

S. No	Type of Resolution	Particulars of Resolution
1	SPECIAL RESOLUTION	MIGRATION OF THE COMPANY FROM SME PLATFORM OF NATIONAL STOCK EXCHANGE OF INDIA LIMITED TO THE MAIN BOARD OF NATIONAL STOCK EXCHANGE OF INDIA LIMITED

We hereby submit by report as under:

1. The Management of the Company is responsible to ensure the compliance with the requirements of the Act, and Rules made thereunder including MCA Circulars issued by the MCA in view of the current extraordinary circumstances due to COVID-19 pandemic

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Kunal Sharma & Associates

Company Secretaries

requiring social distancing, having advised the Companies to take all decisions requiring member's approval, other than items of ordinary business or business where any person has a right to be heard, through the mechanism of postal ballot/ e-voting in accordance with the provisions of the Companies Act, 2013 and rules made thereunder, without holding a general meeting that requires physical presence of members at a common venue read with SEBI Listing Regulations, relating to Postal Ballot process conducted through electronic means.

- 2. The Company has appointed Central Depository Services (India) Limited ("CDSL"), as the agency to provide remote electronic voting facility to Members of the Company and allotted EVSN 201016008 for the same.
- 3. My responsibility as a Scrutinizer is restricted to make Scrutinizers' Report of the votes cast "For" or "Against" the resolution stated in the Notice of Postal Ballot dated 16^{th} October, 2020.
- 4. Pursuant to the MCA Circulars, the members of the Company holding Equity Shares, as on the "cut-off date" i.e. Friday, 16th October, 2020 were entitled to vote on the proposed resolution as set out in the Notice of Postal Ballot dated 16th October, 2020, through remote e-voting only.
- 5. The remote e-voting period commenced on Tuesday, 20th October, 2020 (09.00 AM IST) and ended on Wednesday, 18th November, 2020 (05.00 PM IST) at e-voting website link www.evotingindia.com. The remote e-voting module was disabled for voting thereafter.
- 6. Pursuant to the provisions of the Act and MCA Circulars issued by Ministry of Corporate Affairs, the Companies has sent Postal Ballot notice(s) to its Members whose name(s) appeared in the Register of Members / list of beneficial owners received from National Securities Depositories Limited/ Central Depository Services (India) Limited as on the Cutoff date i.e. Friday, 16th October, 2020 and whose e-mail ids were available with the Company/ Registrar Share Transfer Agent ("RTA") / Depositories Participants, through electronic means only and has not dispatched physical notices to any member. Further, the Company vide Postal Ballot Notice dated 16th October, 2020, had also requested its members to register/update their email addresses with the Registrar and Share Transfer Agent of the Company or to the Company.



- 7. As stated in Sub-rule 3 of Rule 22 of the Companies (Management and Administration) Rules, 2014 as amended from time to time & MCA circulars, on Tuesday, 20th October, 2020 public notices were published by the Company in Financial Express ("English Newspaper") and in Financial Express, Gujarati (Vernacular Newspaper) informing about completion of dispatch of Postal Ballot Notices to its shareholders through electronic means.
- 8. We have monitored the process of electronic voting (i.e. remote e-voting) through the scrutinizer's secured link provided by CDSL through its designated website.





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Company Secretaries

- 9. All e-votes cast upto 5:00 p.m. on Wednesday, 18th November, 2020 i.e. the last date and time fixed by the Company for casting votes through e-voting, were considered for the purpose of this report.
- 10. Due to COVID-19, pandemic and nationwide lockdown announced by the Central/ State Government, physical ballot form was not dispatched and provisions of MCA Circulars were adhered. Therefore, neither the Company nor I have received any postal ballot form.
- 11. After completion of e-voting, votes casted by the members were unblocked by me in the presence of two witnesses who were not in the employment of the Company.
- 12. Thereafter, the details containing, inter alia, the list of Equity Shareholders, who voted "for" or "against" each of the resolutions that was put to vote, were generated from e-voting website of CDSL, i.e., https://www.evotingindia.com.
- 13. Votes casted by the members through remote e-voting, were reconciled with the records maintained by the Registrar and Transfer Agent of the Company / the Company.
- 14. The Postal Ballot record will be handed over to the Company Secretary for the safe custody once the Chairman consider, approve and sign the Postal Ballot Minutes.
- 15. After ascertaining the votes casted by remote e-voting, I hereby submit the result as under:

Item no. 01 - (As a Special Resolution):

MIGRATION OF THE COMPANY FROM SME PLATFORM OF NATIONAL STOCK EXCHANGE OF INDIA LIMITED TO THE MAIN BOARD OF NATIONAL STOCK EXCHANGE OF INDIA LIMITED:

Note: In accordance with Regulation 277 of SEBI (ICDR) Regulations, 2018, the below mentioned Resolution shall be acted upon if and only if the votes cast by shareholders, other than promoters, in favour of the proposal amount to at least two times the number of votes cast by shareholders, other than promoter, against the proposal.

Particulars	Remo	te E-Votes	Postal Ballots		T	otal	Percentage
Particular S	No.	Votes	No.	Votes	No.	Votes	
Favor	23	8069747	NA	NA	23	8069747	100.00
Against	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Invalid	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Total	23	8069747	NA	NA	23	8069747	100.00



Summary of E-Voting by Public Shareholders (Other than Promoters and Promoters Group):



Dorticulore	Remo	te E-Votes	Postal	Ballots	Total Percen		
Particulars	No.	Votes	No.	Votes	No.	Votes	
Favor	15	220000	NA	NA	15	220000	100.00

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Against	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Invalid	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Total	15	220000	NA	NA	15	220000	100.00

- 16. Based on the above remote e-voting, the above resolution as set out in the Postal Ballot Notice dated 16^{th} October, 2020 stand approved by the members with the requisite majority.
- 17. The Chairman of the Company may accordingly declare the result of the Postal Ballot (E-Voting).

Date: November 20, 2020

Place: Ahmedabad



For Kunal Sharma & Associates Company Secretaries

KUNAL
SHARMA
SHARMA
Kunal Sharma

Proprietor M. No: F10329 CP No: 12987

UDIN: F010329B001261865

19/11/2020 Welcome to EVSN



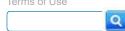


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Venue Voting



You will be redirected to a new page after 1800 seconds of inactivity. Welcome admin{SCRUTINIZERADMIN} Logout

Manage Users **Ballot Details**

Allocate Functional Users

Voting Result as of today. Voting Restrictions

EVSN

EVSN Entry

Upload ISIN

Voting - Upload Result - Upload Approve SH Vote - Upload Disapprove SH Vote -Upload

No of Votes Res. No.

Nominal Value

Voting Rights

Total Folios Voted

23









LIMITED

10

1

23

EQUITY SHARES



201016008 for WEALTH FIRST PORTFOLIO MANAGERS

LIMITED#FORMERLY WEALTH FIRST PORTFOLIO MANAGERS PRIVATE

INE658T01017 WEALTH FIRST PORTFOLIO MANAGERS LIMITED #

Total Count

23

Total

8069747

Ballot Details

Check File Status

Finalise Voting

Report

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