EXTRACT OF ANNUAL RETURN FORM MGT 9

[Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014]

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2021

I. Registration and other details:

CIN	L67120GJ2002PLC040636
Registration date	16/04/2002
Name of the Company	WEALTH FIRST PORTFOLIO MANAGERS LIMITED
Category / Sub-Category of the Company	Public Company/Limited by Shares
Address of the registered office	Capitol House, 10 Paras-II, Near Campus Corner,
	Prahaladnagar, Anand Nagar Road
	Ahmedabad, Gujarat – 380015.
Whether listed company (Yes/No)	Yes- National Stock Exchange of India(NSE)
	Scrip Code: WEALTH
Telephone Number	Telephone: 079-40240000
	Fax: 079-40240081
Email ID	info@wealthfirst.biz
Website	<u>www.wealth-firstonline.com</u>
Name, address and contact details of	Name: Bigshare Services Private Ltd
Registrar and Transfer Agent, if any	Address: A/802, Samudra Complex, Near Klassic Gold,
	Girish Cold Drink, C.G. Road, Ahmedabad, Gujarat -
	380009
	Telephone: 079-40024135
	Email ID: bssahd@bigshareonline.com
	Website: www.bigshareonline.com

II. Principal Business Activities of the Company:

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr.	Name and Description of main	NIC Code of the Product /	% to total turnover of the Company
No	Products / Services	Service	
01	Sales of Bonds, Equity and Mutual Fund	66120	100.00

III. Particulars of holding, subsidiary and Associate Companies:

Sr.	Name and address of the	CIN/GLN	Holding/	% of	Applicable
No.	company		Subsidiary	shares	Section
			/Associate	held	
01	Name: Wealth First	U74999GJ2016PTC093213	Wholly-	100%	2 (87) (ii)
	Investment Advisers Private		owned		
	Limited		Subsidiary		
	Address: Capitol House, 10		Company		
	Paras-II, Near Campus				
	Corner, Prahaladnagar,				
	Anandnagar, Ahmedabad,				
	Gujarat -380015				

IV. Shareholding pattern (Equity share capital break up as % of total equity):

(i) Category-wise Shareholding:

(i) Category-v	No. of Sha		No. of Shares held at the beginning of the year				No. of Shares held at the end of the year			
Category of Share holder	Demat	Physical		% of Total Shares	Demat	Physical	Total	% of Total Shares	change during the year	
A. Promoters										
(1) Indian										
a) Individual/ HUF	41,39,850	0	41,39,850	64.75	69,34,748	0	69,34,748	65.08	0.33	
b) Central Govt.	0	0	0	0	0	0	0	0	0	
c) State Govt(s)	0	0	0	0	0	0	0	0	0	
d) Bodies Corporates	5,70,000	0	5,70,000	8.92	9,49,999	0	9,49,999	8.92	0	
e) Banks/FI	0	0	0	0	0	0	0	0	0	
f) Any Other	0	0	0	0	0	0	0	0	0	
SUB TOTAL A(1)	47,09,850	0	47,09,850	73.67	78,84,747	0	78,84,747	74.00	0.33	
(2) Foreign										
a) NRI-individuals	0	0	0	0	0	0	0	0	0	
b) Other Individuals	0	0	0	0	0	0	0	0	0	
c) Bodies Corporate	0	0	0	0	0	0	0	0	0	
d) Banks/FI	0	0	0	0	0	0	0	0	0	
e) Any Other	0	0	0	0	0	0	0	0	0	
Sub Total A(2)	0	0	0	0	0	0	0	0	0	
Total Shareholding of Promoter (A)=(A)(1)+ (A)(2)	47,09,850	0	47,09,850	73.67	78,84,747	0	78,84,747	74.00	0.33	
B. PUBLIC SHAREHOLDING										
(1) Institutions										

a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (Specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(1)	0	0	0	0	0	0	0	0	0
(2) Non- Institutions									
a) Bodies Corp.									
i) Indian	9,150	0	9,150	0.14	83,349	0	83,349	0.78	0.64
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i)Individuals/ HUF holding nominal shares capital Upto Rs. 1 Lakh		0	7,23,000	11.31	11,32,000	0	11,32,000	10.62	(0.69)
ii) Individuals/ HUF holding nominal shares capital in excess of Rs. 1 Lakh		0	9,51,000	14.88	15,54,904	0	15,54,904	14.59	(0.29)
c)Any others (specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(2)	16,83,150	0	16,83,150	26.33	27,70,253	0	27,70,253	26.00	(0.33)
Total Public Share- Holding (B)= (B)(1)+(B)(2)	16,83,150	0	16,83,150	26.33	27,70,253	0	27,70,253	26.00	(0.33)
C. Shares held by Custodian For ADRs/GDRs	0	0	0	0	0	0	0	0	0
Grand Total (A + B + C)	63,93,000	0	63,93,000	100	1,06,55,00 0	0	1,06,55,00 0	100	66.67

(Note: Company has issued and allotted 42,62,000 Equity Shares as Bonus Shares to the eligible existing shareholders dated 1st October, 2020.)

(ii) Shareholding of Promoters:

		Shareholding at the beginning of the year			Shai er	% change		
SI. No	Shareholder's Name	No of shares	% of total shares of the compan y	% of shares pledged encumb ered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	in share holding during the year
1	Ashish Navnitlal Shah	20,55,850	32.16	0	34,26,416	32.16	0	0
2	Hena Ashish Shah	20,28,000	31.72	0	33,80,000	31.72	0	0
3	DSFS Shares and Stock Broking Private Limited	80,000	1.25	0	1,33,333	1.25	0	0
4	Wealth First Commodities Private Limited	2,40,000	3.75	0	4,00,000	3.75	0	0
5	Dalal and Shah Fiscal Services Limited	30,000	0.47	0	50,000	0.47	0	0
6	Oraculo Stockbrokers Private Limited	2,20,000	3.44	0	3,66,666	3.44	0	0
7	Navnitlal Popatlal Shah HUF	28,000	0.44	0	46,666	0.44	0	0
8	Vipin Parshottamdas Shah HUF	28,000	0.44	0	46,666	0.44	0	0
9	Viren Vipinchandra Shah	21,000	0.33	0	35,000	0.33	0	0
	TOTAL	47,30,850	74.00	0	78,84,747	74.00	0	0

(iii) Change in Promoter's Shareholding:

Shareholder's Name	Date	Reason for increase/decr ease	No. of Shares Increased/ Decreased	Shareholding at the beginning of the year	Cumulative shareholding during the year
Ashish Navnitlal Shah					
At the beginning of the	01.04.2020			20,55,850	20,55,850
year					
Date wise increase/	01.10.2020	Issue of	13,70,566		34,26,416
Decrease in Promoters		Bonus Shares			
shareholding during the					
year specifying the					
reasons for					
increase/decrease (e.g.					
allotment/transfer/bonu					
s/sweat equity etc)					
At the end of the year	31.03.2021				34,26,416

Hena Ashish Shah					
At the beginning of the	01.04.2020			20,28,000	20,28,000
year					
Date wise increase/	01.10.2020	Issue of	13,52,000		33,80,000
Decrease in Promoters		Bonus Shares			
shareholding during the					
year specifying the					
reasons for					
increase/decrease (e.g. allotment/transfer/bonu					
s/sweat equity etc)					
At the end of the year	31.03.2021				33,80,000
DSFS Shares and Stock	31.03.2021				33,00,000
Broking Private Limited					
At the beginning of the	01.04.2020			80,000	80,000
year					
Date wise increase/	01.10.2020	Issue of	53,333		1,33,333
Decrease in Promoters		Bonus Shares			
shareholding during the					
year specifying the					
reasons for					
increase/decrease (e.g.					
allotment/transfer/bonu					
s/sweat equity etc)	31.03.2021				1 22 222
At the end of the year Wealth First	31.03.2021				1,33,333
Commodities Private					
Limited					
At the beginning of the	01.04.2020			2,40,000	2,40,000
year					
Date wise increase/	01.10.2020	Issue of	1,60,000		4,00,000
Decrease in Promoters		Bonus Shares			
shareholding during the					
year specifying the					
reasons for					
increase/decrease (e.g.					
allotment/transfer/bonu s/sweat equity etc)					
At the end of the year	31.03.2021				4,00,000
Dalal and Shah Fiscal	31.03.2021				7,00,000
Services Limited					
At the beginning of the	01.04.2020			30,000	30,000
year				•	,
Date wise increase/	01.10.2020	Issue of	20,000		50,000
Decrease in Promoters		Bonus Shares			
shareholding during the					
year specifying the					

reasons for					
increase/decrease (e.g.					
allotment/transfer/bonu					
s/sweat equity etc)					
At the end of the year	31.03.2021				50,000
Oraculo Stockbrokers	01.00.2021				00/000
Private Limited					
At the beginning of the	01.04.2020			2,20,000	2,20,000
year	0.1.0.1.2020				_,,
Date wise increase/	01.10.2020	Issue of	1,46,666		3,66,666
Decrease in Promoters	0111012020	Bonus Shares	.,,		0,00,000
shareholding during the					
year specifying the					
reasons for					
increase/decrease (e.g.					
allotment/transfer/bonu					
s/sweat equity etc)					
At the end of the year	31.03.2021				3,66,666
Navnitlal Popatlal Shah					
HUF					
At the beginning of the	01.04.2020			28,000	28,000
year					
Date wise increase/	01.10.2020	Issue of	18,666		46,666
Decrease in Promoters		Bonus Shares			
shareholding during the					
year specifying the					
reasons for					
increase/decrease (e.g.					
allotment/transfer/bonu					
s/sweat equity etc)					
At the end of the year	31.03.2021				46,666
Vipin Parshottamdas					
Shah HUF					
At the beginning of the	01.04.2020			28,000	28,000
year					
Date wise increase/	01.10.2020	Issue of	18,666		46,666
Decrease in Promoters		Bonus Shares			
shareholding during the					
year specifying the					
reasons for					
increase/decrease (e.g.					
allotment/transfer/bonu					
s/sweat equity etc)	31.03.2021				AL LL1
At the end of the year Viren Vipinchandra Shah	31.03.2021				46,666
At the beginning of the	01.04.2020			21,000	21,000
year	01.04.2020			∠1,000	21,000
year	<u> </u>				

Date wise increase/	01.10.2020	Issue of	14,000	35,000
Decrease in Promoters		Bonus Shares		
shareholding during the				
year specifying the				
reasons for				
increase/decrease (e.g.				
allotment/transfer/bonu				
s/sweat equity etc)				
At the end of the year	31.03.2021			35,000

(iv) Shareholding Pattern of top ten Shareholders (Other than directors, Promoters and Holders of GDRs and ADRs):

Particulars	Date	Reason for increase/decr ease	No. of Shares Increased/ Decreased	· · · J · ·		Cumulative shareholding during the year	
Gaurang Parmanand Shah							
At the beginning of the year	01.04.2020			2,91,000	4.55	2,91,000	4.55
Date wise increase/ Decrease in Promoters	17.04.2020	Purchase of Securities	3,000			2,94,000	4.60
shareholding during the year specifying the	26.06.2020	Sell of Securities	(6,000)			2,88,000	4.50
reasons for increase/decrease (e.g.	14.09.2020	Sell of Securities	(3,000)			2,85,000	4.46
allotment/transfer/bonu s/sweat equity etc)	18.09.2020	Sell of Securities	(9,000)			2,76,000	4.32
	25.09.2020	Sell of Securities	(69,000)			2,07,000	3.24
	01.10.2020	Allotment of Bonus Shares	1,38,000			3,45,000	3.24
At the end of the year	31.03.2021					3,45,000	3.24
Pratul Krishnakant Shroff							
At the beginning of the year	01.04.2020			81,000	1.27	81,000	1.27
Date wise increase/ Decrease in Promoters	01.10.2020	Allotment of Bonus Shares	54,000			1,35,000	1.27
shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	29.01.2021	Purchase of Securities	1,02,000			2,37,000	2.22
At the end of the year	31.03.2021					2,37,000	2.22

Nitinbhai Madhukarbhai							
Pandya At the beginning of the	01.04.2020			07.000	1.36	07.000	1.36
At the beginning of the year	01.04.2020			87,000	1.30	87,000	1.30
Date wise increase/ Decrease in Promoters	17.04.2020	Sell of Securities	(9,000)			78,000	1.22
shareholding during the year specifying the	24.04.2020	Sell of Securities	(3,000)			75,000	1.17
reasons for increase/decrease (e.g.	26.06.2020	Purchase of Securities	9,000			84,000	1.31
allotment/transfer/bonu s/sweat equity etc)	30.06.2020	Purchase of Securities	3,000			87,000	1.36
	04.09.2020	Sell of Securities	(3,000)			84,000	1.31
	11.09.2020	Sell of Securities	(3,000)			81,000	1.27
	18.09.2020	Purchase of Securities	3,000			84,000	1.31
	25.09.2020	Purchase of Securities	3,000			87,000	1.36
	01.10.2020	Allotment of Bonus Shares	58,000			1,45,000	1.36
	22.01.2021	Sell of Securities	(1,430)			1,43,570	1.35
	29.01.2021	Sell of Securities	(4,500)			1,39,070	1.31
At the end of the year	31.03.2021					1,39,070	1.31
WAAO Partners							
At the beginning of the year	01.04.2020			0	0.00	0	0.00
Date wise increase/ Decrease in Promoters	28.09.2020	Purchase of Securities	1,02,000			1,02,000	1.60
shareholding during the year specifying the	01.10.2020	Allotment of Bonus Shares	68,000			1,70,000	1.60
reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	22.01.2021	Sell of Securities	(1,02,000)			68,000	0.64
At the end of the year	31.03.2021					68,000	0.64

Dr. Sandip Shah								<u> </u>
At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Jitendra Bharatbhai Shah At the beginning of the year specifying the reasons for increase/decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year 31.03.2021	Dr. Sandin Shah							
pear betwee increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Date wise increase/ becrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ becrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Sumit Indramohan Sethi At the beginning of the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Sumit Indramohan Sethi O1.04.2020 Allotment of Bonus Shares Sethi O2.000 0.61 Purchase of Securities Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Salos.2021 0.10.2020 0.52 Allotment of Bonus Shares Sethi O1.04.2020 0.52 Allotment of Bonus Shares Sethi O1.04.2020 0.52 Allotment of Bonus Shares Sethi O1.04.2020 0.52 Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Salos.2021 0.000 0.52 Allotment of Bonus Shares Sethi O1.04.2020 0.52 Date wise increase/ Decrease in Promoters Shareholding during the year specifying the Park Shareholding Shareholdi		01.04.2020			39,000	0.61	39,000	0.61
Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the end of the year Jitendra Bharatbhai Shah At the beginning of the year Shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the end of the year Sumit Indramohan Sethi At the beginning of the year Shareholding during the year Shareholding during the year Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the end of the year Shareholding during the year specifying the reasons for increase/decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Shareholding during the year Specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the end of the year Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment of Securities Shareholding during the year Specifying the reasons for increase/decrease (e.g. allotment of Securities Shareholding during the year Specifying the reasons for increase/decrease (e.g. allotment of Securities Shareholding during the year Specifying the reasons for increase/decrease (e.g. allotment of Securities Shareholding during the year Specifying the reasons for increase/decrease (e.g. allotment of Securities Shareholding during the year Specifying the reasons for increase/decrease	0 0				,		·	
shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the end of the year Jitendra Bharatbhai Shah At the beginning of the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Sumit Indramohan Sethi At the beginning of the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Sumit Indramohan Sethi At the beginning of the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the end of the year John Schrift and the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the end of the year John Schrift and Sc	Date wise increase/	01.10.2020	Allotment of	26,000			65,000	0.61
year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the end of the year Jitendra Bharatbhai Shah At the beginning of the year Specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Sumit Indramohan Sethi At the beginning of the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/shares) At the beginning of the year Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/shares) At the end of the year Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/shares) At the end of the year Shareholding during the year specifying the reasons for increase/decrease in Promoters shareholding during the year specifying the reasons for increase/decrease in Promoters shareholding during the year specifying the reasons for increase/decrease in Promoters shareholding during the year specifying the reasons for increase/decrease in Promoters shareholding during the year specifying the reasons for increase/decrease in Promoters shareholding during the year specifying the reasons for increase/decrease in Promoters shareholding during the year specifying the reasons for increase/decrease in Promoters shareholding during the year specifying	Decrease in Promoters		Bonus Shares					
reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the end of the year Jitendra Bharatbhai Shah At the beginning of the year Date wise increase/ becrease in Promoters shareholding during the year Date wise increase/ Decrease in Promoters shareholding during the year Date wise increase/ becrease (e.g. allotment/transfer/bonus/s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year Specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment of Securities Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the year year year year year year year yea	3 3							
Increase/decrease (e.g. allotment/transfer/bonus/s/sweat equity etc) 31.03.2021 65,000 0.61								
allotment/transfer/bonu s/sweat equity etc) At the end of the year Jitendra Bharatbhai Shah At the beginning of the year Date wise increase/ Decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Date wise increase/ Decrease in Promoters shareholding during the year Date wise increase/ Decrease in Promoters shareholding during the year Date wise increase/ Decrease in Promoters shareholding during the year Date wise increase/ Decrease in Promoters shareholding during the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the pear specifying the pear specifying the year year year year year year year yea								
At the end of the year Jitendra Bharatbhai Shah At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/ Decrease in Promoters shareholding during the year Sumit Indramohan Sethi At the beginning of the equal beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/ Decrease in Promoters shareholding during the year At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/ allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/ allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/ allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/ Decrease in Promoters shareholding during the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase in Promoters shareholding during the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons in Promoters shareholding during the year specifying the year spec	\ \ \							
At the end of the year Jitendra Bharatbhai Shah At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Sumit Indramohan Sethi At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/ Decrease in Promoters shareholding during the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year 31.03.2021 Purchase of Securities O1.10.2020 Allotment of Denus Shares O1.10.2020 Allotment of Bonus Shares O1.10.2020 Allotment of Securities Shareholding during the year Udyan Navinbhai Patel At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year Date wise increase/ O1.10.2020 Allotment of Decrease in Promoters shareholding during the year Date wise increase/ O1.10.2020 Allotment of Decrease in Promoters shareholding during the year specifying the specifying the year year year								
Jitendra Bharatbhai Shah At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year specifying the reasons for increase/decrease in Promoters shareholding during the year Date wise increase/ Date w	3/3Weat equity etc)							
At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year Date wise increase/ Decrease in Promoters allotment of the year allotment	At the end of the year	31.03.2021					65,000	0.61
year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year O1.10.2020 Allotment of Decrease in Promoters shareholding during the year specifying the reason for increase/decrease (e.g. allotment) Patel At the beginning of the year O1.10.2020 Allotment of Decrease in Promoters shareholding during the year specifying the reason Promoters shareholding during the year specifying the year year year year year year year yea	Jitendra Bharatbhai Shah							
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Deterease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Deterease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the year year specifying the year year year year year year year yea	At the beginning of the	01.04.2020			39,000	0.61	39,000	0.61
Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Date wise increase/ Decrease in Promoters shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year At the beginning of the year Date wise increase/ Decrease (a.g. allotment of Bonus Shares Total Date wise increase/ Decrease in Promoters shareholding during the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reason/ Decrease in Promoters shareholding during the year specifying the reason/ Decrease in Promoters shareholding during the year specifying the year specifying the reason/ Decrease in Promoters shareholding during the year specifying the year year year year year year year yea								
shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year 31.03.2021 At the beginning of the year Date wise increase/ becrease in Promoters shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year 28.09.2020 Purchase of Securities O1.10.2020 Allotment of Securities Bonus Shares Shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year 31.03.2021 9.000 9.52 O1.10.2020 Allotment of Securities 9.000 9.52		01.10.2020		26,000			65,000	0.61
year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year 31.03.2021 At the beginning of the year Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year 31.03.2021 At the beginning of the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year 31.03.2021 At the beginning of the year 31.03.2021 At the beginning of the year Specifying the reasons for increase of the year specifying the reasons for increase of the year specifying the year s			Bonus Shares					
reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Date wise increase/ 28.09.2020 Purchase of Securities shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year 31.03.2021 30,000 0.47 30,000 0.47 30,000 0.47 30,000 0.47 30,000 0.47 30,000 0.47 30,000 0.47 30,000 0.47 30,000 0.52 33,000 0.52 33,000 0.52 33,000 0.52 33,000 0.52 33,000 0.52 35,000 0.52 35,000 0.52 35,000 0.52 33,000	3							
increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year 31.03.2021								
allotment/transfer/bonu s/sweat equity etc) At the end of the year At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the								
s/sweat equity etc) At the end of the year At the beginning of the year At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the are specifying the year year year year year year year yea	\ \							
Sumit Indramohan Sethi01.04.2020At the beginning of the year28.09.2020Purchase of Securities30,0000.4730,0000.52Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)01.10.2020Allotment of Bonus Shares22,00055,0000.52At the end of the year31.03.202155,0000.52Udyan Navinbhai Patel At the beginning of the year01.04.202033,0000.52Date wise increase/ Decrease in Promoters shareholding during the year specifying the01.10.2020Allotment of Bonus Shares22,00055,0000.52	s/sweat equity etc)							
At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the	At the end of the year	31.03.2021					65,000	0.61
year Date wise increase/ Decrease in Promoters shareholding during the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the	Sumit Indramohan Sethi							
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the Securities 3,000 55,000 55,000 55,000 33,000 55,000		01.04.2020			30,000	0.47	30,000	0.47
Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the							22.222	0.50
shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the		28.09.2020		3,000			33,000	0.52
year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year 31.03.2021 55,000 0.52 Udyan Navinbhai Patel At the beginning of the year 01.04.2020 year Date wise increase/ Decrease in Promoters shareholding during the year specifying the		01 10 2020		22.000			FF 000	0.50
reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year 31.03.2021 55,000 0.52 Udyan Navinbhai Patel At the beginning of the year 01.04.2020 year Date wise increase/ Decrease in Promoters shareholding during the year specifying the		01.10.2020		22,000			55,000	0.52
increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc) At the end of the year At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the 31.03.2021 55,000 33,000 0.52 33,000 0.52 33,000 0.52 55,000 0.52 55,000 0.52			bolius silaites					
allotment/transfer/bonu s/sweat equity etc) At the end of the year 31.03.2021 55,000 0.52 Udyan Navinbhai Patel At the beginning of the year 01.04.2020 33,000 0.52 Date wise increase/ Decrease in Promoters shareholding during the year specifying the								
At the end of the year Udyan Navinbhai Patel At the beginning of the year Date wise increase/ Decrease in Promoters shareholding during the year specifying the 31.03.2021 55,000 0.52 33,000 0.52 33,000 0.52 33,000 0.52 33,000 0.52 33,000 0.52 33,000 0.52	` 9							
Udyan Navinbhai Patel33,00033,0000.5233,0000.52At the beginning of the year01.04.2020Allotment of Bonus Shares22,00055,0000.52	s/sweat equity etc)							
At the beginning of the year O1.04.2020 O1.04.2020 O1.04.2020 Allotment of Bonus Shares Shareholding during the year specifying the Shareholding during the year specifying the Shareholding during the specifical spec	At the end of the year	31.03.2021					55,000	0.52
year Date wise increase/ 01.10.2020 Allotment of Decrease in Promoters shareholding during the year specifying the								
Date wise increase/ 01.10.2020 Allotment of 22,000 55,000 0.52 Decrease in Promoters shareholding during the year specifying the		01.04.2020			33,000	0.52	33,000	0.52
Decrease in Promoters shareholding during the year specifying the		04.40.0000	Allahar	00.000			FF 000	0.50
shareholding during the year specifying the		01.10.2020		22,000			55,000	0.52
year specifying the			Rouns Suares					
	8							
. 5555								
increase/decrease (e.g.								

allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2021					55,000	0.52
Hasmukh Ramji Devani							
At the beginning of the	01.04.2020			33,000	0.52	33,000	0.52
year							
Date wise increase/	01.10.2020	Allotment of	22,000			55,000	0.52
Decrease in Promoters		Bonus Shares					
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2021					55,000	0.52
Asit Ramniklal Shah							
At the beginning of the	01.04.2020			27,000	0.42	27,000	0.42
year							
Date wise increase/	01.05.2020	Purchase of	3,000			30,000	0.47
Decrease in Promoters		securities					
shareholding during the	01.10.2020	Allotment of	20,000			50,000	0.47
year specifying the		Bonus Shares					
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2021					50,000	0.47

(v) Shareholding of Directors and Key Managerial Personnel:

Particulars	Date	Reason for increase/decr ease	No. of Shares Increas ed/Dec reased	Shareholding beginning of year	•	Cumulative shareholding the year	J during
Ashish Navnitlal Shah							
At the beginning of the	01.04.2020			20,55,850	32.16	20,55,850	32.16
year							
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	01.10.2020	Issue of Bonus Shares	13,70,5 66	-	-	34,26,416	32.16
At the end of the year	31.03.2021					34,26,416	32.16

Hena Ashish Shah							
At the beginning of the	01.04.2020			20,28,000	31.72	20,28,000	31.72
year							
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	01.10.2020	Issue of Bonus Shares	13,52,0 00	-	-	33,80,000	31.72
At the end of the year	31.03.2021					33,80,000	31.72
Rajan Babubhai Mehta							
At the beginning of the year	01.04.2020			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2021					0	0
Devanshu Rashmikant Mehta							
At the beginning of the year	01.04.2020			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	•	-	-
At the end of the year	31.03.2021					0	0
Binal Bhukhanwala Gandhi							
At the beginning of the year	01.04.2020			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g.	-	-	-	-		-	-

allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2021					0	0
Sanjiv Harshad Shah							
At the beginning of the	29.12.2020			0	0	0	0
appointment							
Date wise increase/							
Decrease in Promoters							
shareholding during the							
year specifying the							
reasons for	-	-	-	-	-	-	-
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2021					0	0
Manish Dhirajlal Kansara							
At the beginning of the	01.04.2020	-	-	6,000	0.09	6,000	0.09
year							
Date wise increase/							
Decrease in Promoters							
shareholding during the		Issue of					
year specifying the	01.10.2020	Bonus	4,000	_	_	10,000	0.09
reasons for	01.10.2020	Shares	4,000	-	-	10,000	0.09
increase/decrease (e.g.		Si lai es					
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2021					10,000	0.09
Aayush Kamleshbhai							
Shah							
At the beginning of the	01.04.2020			0	0	0	0
year							
Date wise increase/							
Decrease in Promoters							
shareholding during the							
year specifying the	_	_	_	_	_	_	_
reasons for	_	-	_	<u>-</u>	_	-	
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2021					0	0

V. Indebtedness:

Indebtedness of the Company including interest outstanding / accrued but not due for payment:

Particulars	Secured Loans excluding deposits	Unsecured Loans	Total Indebtedness
Indebtedness at the beginning of the			
financial year			
i) Principal Amount	2,89,49,487	-	2,89,49,487
ii) Interest due but not paid	1,22,174	-	1,22,174
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	2,90,71,661	-	2,90,71,661
Change in indebtedness during the			
financial year			
i) Addition	21,78,15,647	14,80,000	21,92,95,647
ii) Reduction	(24,68,86,768)	(14,80,000)	(24,83,66,768)
Net Change	(2,90,71,121)	-	(2,90,71,121)
Indebtedness at the end of the financial			
year			
i) Principal Amount	540	-	540
ii) Interest due but not paid	-	-	-
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	540	-	540

VI. Remuneration of directors and key managerial personnel-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SI. No.	Particulars of Remuneration	Name of MD/W	TD/Manager	Total Amount
		Ashish Shah	Hena Shah	
1.	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	39,00,000	15,00,000	54,00,000
	(b) Value of perquisites u/s 17(2) Incometax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of profit - others, specify	-	-	-
5	Others	-	-	-

Total (A)	39,00,000	15,00,000	54,00,000
Ceiling as per the Act	As per th	e Companies Ac	ct, 2013.

B. Remuneration to other directors:

Particulars of remuneration	Name of Independent Directors			
	Devanshu	Rajan	Binal	Sanjiv Shah
	Mehta	Mehta	Gandhi	
Fee for attending Board Meeting	35,000	20,000	20,000	5,000
Fee for attending Committee	40,000	45,000	25,000	-
Meeting				
Fee for attending other meetings	-	-	-	-
Commission	-	-	-	-
Others, please specify	-	-	-	-
Total	75,000	65,000	45,000	5,000

C. Remuneration to key managerial personnel other than MD/Manager/WTD:

Sr. No.	Particulars of Remuneration	Key Manageri	al Personnel (An	al Personnel (Amount in Rs.)		
		Manish Kansara (CFO)	Aayush Shah (CS)	Total		
1	Gross Salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	11,78,000	6,50,660	18,28,660		
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-		
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-		
2	Stock Option	-	-	-		
3	Sweat Equity	-	-	-		
4	Commission					
	- as % of profit	-	-	-		
	- others, specify	-	-	-		
5	Others, please specify	-	-	-		
	Total	11,78,700	6,50,660	18,28,660		

VII. Penalties / Punishment/ Compounding of Offences: NIL

Туре	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)			
A. COMPANY								
Penalty								
Punishment	None							
Compounding								
B. DIRECTORS	ı							
Penalty								
Punishment	None							
Compounding								
C. OTHER OFFICE	RS IN DEFAULT							
Penalty								
Punishment	None	Jone						
Compounding								

Form AOC-1

[Pursuant to first provision to sub-section (3) of section 129 read with rules 5 of Companies (Accounts) Rules, 2014]

Statement containing salient features of the financial statements of subsidiaries/ associate companies/ joint ventures

Part "A": Subsidiaries

(Amt. in

lakhs)

Sr. No.	Particulars	
1	Name of the subsidiary	Wealth First Investment Advisers Private Limited
2	Reporting period for the subsidiary concerned, if different from the holding company's reporting period	N.A
3	Reporting currency and Exchange rate as on the last date of the relevant Financial year in the case of foreign subsidiaries	N.A
4	Share Capital	60.00
5	Reserve & surplus	7.78
6	Total assets	72.38
7	Total Liabilities	72.38
8	Investments	-
9	Turnover	20.33
10	Profit before taxation	11.86
11	Provision for taxation	(3.49)
12	Profit after taxation	8.37
13	Proposed Dividend	-
14	% of Shareholding	100

Notes: The following information shall be furnished at the end of the statement:

- 1. Name of subsidiaries which are yet to commence operations: **N.A**
- 2. Name of subsidiaries which have been liquidated or sold during the year: N.A