

**EXTRACT OF ANNUAL RETURN
FORM MGT 9**

[Pursuant to Section 92 (3) of the Companies Act, 2013 and
Rule 12(1) of the Company (Management & Administration) Rules, 2014]

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2021

I. Registration and other details:

CIN	L67120GJ2002PLC040636
Registration date	16/04/2002
Name of the Company	WEALTH FIRST PORTFOLIO MANAGERS LIMITED
Category / Sub-Category of the Company	Public Company/Limited by Shares
Address of the registered office	Capitol House, 10 Paras-II, Near Campus Corner, Praladnagar, Anand Nagar Road Ahmedabad, Gujarat – 380015.
Whether listed company (Yes/No)	Yes- National Stock Exchange of India(NSE) Scrip Code: WEALTH
Telephone Number	Telephone: 079-40240000 Fax: 079-40240081
Email ID	info@wealthfirst.biz
Website	www.wealth-firstonline.com
Name, address and contact details of Registrar and Transfer Agent, if any	Name: Bigshare Services Private Ltd Address: A/802, Samudra Complex, Near Klassic Gold, Girish Cold Drink, C.G. Road, Ahmedabad, Gujarat - 380009 Telephone: 079-40024135 Email ID: bssahd@bigshareonline.com Website: www.bigshareonline.com

II. Principal Business Activities of the Company:

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
01	Sales of Bonds, Equity and Mutual Fund	66120	100.00

a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (Specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(1)	0	0	0	0	0	0	0	0	0
(2) Non- Institutions									
a) Bodies Corp.									
i) Indian	9,150	0	9,150	0.14	83,349	0	83,349	0.78	0.64
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individuals/ HUF holding nominal shares capital Upto Rs. 1 Lakh	7,23,000	0	7,23,000	11.31	11,32,000	0	11,32,000	10.62	(0.69)
ii) Individuals/ HUF holding nominal shares capital in excess of Rs. 1 Lakh	9,51,000	0	9,51,000	14.88	15,54,904	0	15,54,904	14.59	(0.29)
c) Any others (specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(2)	16,83,150	0	16,83,150	26.33	27,70,253	0	27,70,253	26.00	(0.33)
Total Public Share-Holding (B)= (B)(1)+(B)(2)	16,83,150	0	16,83,150	26.33	27,70,253	0	27,70,253	26.00	(0.33)
C. Shares held by Custodian For ADRs/GDRs	0	0	0	0	0	0	0	0	0
Grand Total (A + B + C)	63,93,000	0	63,93,000	100	1,06,55,000	0	1,06,55,000	100	66.67

(Note: Company has issued and allotted 42,62,000 Equity Shares as Bonus Shares to the eligible existing shareholders dated 1st October, 2020.)

(ii) Shareholding of Promoters:

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Ashish Navnitlal Shah	20,55,850	32.16	0	34,26,416	32.16	0	0
2	Hena Ashish Shah	20,28,000	31.72	0	33,80,000	31.72	0	0
3	DSFS Shares and Stock Broking Private Limited	80,000	1.25	0	1,33,333	1.25	0	0
4	Wealth First Commodities Private Limited	2,40,000	3.75	0	4,00,000	3.75	0	0
5	Dalal and Shah Fiscal Services Limited	30,000	0.47	0	50,000	0.47	0	0
6	Oraculo Stockbrokers Private Limited	2,20,000	3.44	0	3,66,666	3.44	0	0
7	Navnitlal Popatlal Shah HUF	28,000	0.44	0	46,666	0.44	0	0
8	Vipin Parshottamdas Shah HUF	28,000	0.44	0	46,666	0.44	0	0
9	Viren Vipinchandra Shah	21,000	0.33	0	35,000	0.33	0	0
	TOTAL	47,30,850	74.00	0	78,84,747	74.00	0	0

(iii) Change in Promoter's Shareholding:

Shareholder's Name	Date	Reason for increase/decrease	No. of Shares Increased/Decreased	Shareholding at the beginning of the year	Cumulative shareholding during the year
Ashish Navnitlal Shah					
At the beginning of the year	01.04.2020			20,55,850	20,55,850
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Issue of Bonus Shares	13,70,566		34,26,416
At the end of the year	31.03.2021				34,26,416

Hena Ashish Shah					
At the beginning of the year	01.04.2020			20,28,000	20,28,000
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	01.10.2020	Issue of Bonus Shares	13,52,000		33,80,000
At the end of the year	31.03.2021				33,80,000
DSFS Shares and Stock Broking Private Limited					
At the beginning of the year	01.04.2020			80,000	80,000
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	01.10.2020	Issue of Bonus Shares	53,333		1,33,333
At the end of the year	31.03.2021				1,33,333
Wealth First Commodities Private Limited					
At the beginning of the year	01.04.2020			2,40,000	2,40,000
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	01.10.2020	Issue of Bonus Shares	1,60,000		4,00,000
At the end of the year	31.03.2021				4,00,000
Dalal and Shah Fiscal Services Limited					
At the beginning of the year	01.04.2020			30,000	30,000
Date wise increase/ Decrease in Promoters shareholding during the year specifying the	01.10.2020	Issue of Bonus Shares	20,000		50,000

reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)					
At the end of the year	31.03.2021				50,000
Oraculo Stockbrokers Private Limited					
At the beginning of the year	01.04.2020			2,20,000	2,20,000
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Issue of Bonus Shares	1,46,666		3,66,666
At the end of the year	31.03.2021				3,66,666
Navnitlal Popatlal Shah HUF					
At the beginning of the year	01.04.2020			28,000	28,000
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Issue of Bonus Shares	18,666		46,666
At the end of the year	31.03.2021				46,666
Vipin Parshottamdas Shah HUF					
At the beginning of the year	01.04.2020			28,000	28,000
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Issue of Bonus Shares	18,666		46,666
At the end of the year	31.03.2021				46,666
Viren Vipinchandra Shah					
At the beginning of the year	01.04.2020			21,000	21,000

Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	01.10.2020	Issue of Bonus Shares	14,000			35,000
At the end of the year	31.03.2021					35,000

(iv) Shareholding Pattern of top ten Shareholders (Other than directors, Promoters and Holders of GDRs and ADRs):

Particulars	Date	Reason for increase/decr ease	No. of Shares Increased/ Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Gaurang Parmanand Shah							
At the beginning of the year	01.04.2020			2,91,000	4.55	2,91,000	4.55
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	17.04.2020	Purchase of Securities	3,000			2,94,000	4.60
	26.06.2020	Sell of Securities	(6,000)			2,88,000	4.50
	14.09.2020	Sell of Securities	(3,000)			2,85,000	4.46
	18.09.2020	Sell of Securities	(9,000)			2,76,000	4.32
	25.09.2020	Sell of Securities	(69,000)			2,07,000	3.24
	01.10.2020	Allotment of Bonus Shares	1,38,000			3,45,000	3.24
At the end of the year	31.03.2021					3,45,000	3.24
Pratul Krishnakant Shroff							
At the beginning of the year	01.04.2020			81,000	1.27	81,000	1.27
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	01.10.2020	Allotment of Bonus Shares	54,000			1,35,000	1.27
	29.01.2021	Purchase of Securities	1,02,000			2,37,000	2.22
At the end of the year	31.03.2021					2,37,000	2.22

Nitinbhai Madhukarbhai Pandya							
At the beginning of the year	01.04.2020			87,000	1.36	87,000	1.36
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	17.04.2020	Sell of Securities	(9,000)			78,000	1.22
	24.04.2020	Sell of Securities	(3,000)			75,000	1.17
	26.06.2020	Purchase of Securities	9,000			84,000	1.31
	30.06.2020	Purchase of Securities	3,000			87,000	1.36
	04.09.2020	Sell of Securities	(3,000)			84,000	1.31
	11.09.2020	Sell of Securities	(3,000)			81,000	1.27
	18.09.2020	Purchase of Securities	3,000			84,000	1.31
	25.09.2020	Purchase of Securities	3,000			87,000	1.36
	01.10.2020	Allotment of Bonus Shares	58,000			1,45,000	1.36
	22.01.2021	Sell of Securities	(1,430)			1,43,570	1.35
	29.01.2021	Sell of Securities	(4,500)			1,39,070	1.31
At the end of the year	31.03.2021					1,39,070	1.31
WAAO Partners							
At the beginning of the year	01.04.2020			0	0.00	0	0.00
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	28.09.2020	Purchase of Securities	1,02,000			1,02,000	1.60
	01.10.2020	Allotment of Bonus Shares	68,000			1,70,000	1.60
	22.01.2021	Sell of Securities	(1,02,000)			68,000	0.64
At the end of the year	31.03.2021					68,000	0.64

Dr. Sandip Shah							
At the beginning of the year	01.04.2020			39,000	0.61	39,000	0.61
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Allotment of Bonus Shares	26,000			65,000	0.61
At the end of the year	31.03.2021					65,000	0.61
Jitendra Bharatbhai Shah							
At the beginning of the year	01.04.2020			39,000	0.61	39,000	0.61
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Allotment of Bonus Shares	26,000			65,000	0.61
At the end of the year	31.03.2021					65,000	0.61
Sumit Indramohan Sethi							
At the beginning of the year	01.04.2020			30,000	0.47	30,000	0.47
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	28.09.2020	Purchase of Securities	3,000			33,000	0.52
	01.10.2020	Allotment of Bonus Shares	22,000			55,000	0.52
At the end of the year	31.03.2021					55,000	0.52
Udyan Navinbhai Patel							
At the beginning of the year	01.04.2020			33,000	0.52	33,000	0.52
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g.	01.10.2020	Allotment of Bonus Shares	22,000			55,000	0.52

allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2021					55,000	0.52
Hasmukh Ramji Devani							
At the beginning of the year	01.04.2020			33,000	0.52	33,000	0.52
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Allotment of Bonus Shares	22,000			55,000	0.52
At the end of the year	31.03.2021					55,000	0.52
Asit Ramniklal Shah							
At the beginning of the year	01.04.2020			27,000	0.42	27,000	0.42
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.05.2020	Purchase of securities	3,000			30,000	0.47
	01.10.2020	Allotment of Bonus Shares	20,000			50,000	0.47
At the end of the year	31.03.2021					50,000	0.47

(v) Shareholding of Directors and Key Managerial Personnel:

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Ashish Navnitlal Shah							
At the beginning of the year	01.04.2020			20,55,850	32.16	20,55,850	32.16
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Issue of Bonus Shares	13,70,566	-	-	34,26,416	32.16
At the end of the year	31.03.2021					34,26,416	32.16

Hena Ashish Shah							
At the beginning of the year	01.04.2020			20,28,000	31.72	20,28,000	31.72
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Issue of Bonus Shares	13,52,000	-	-	33,80,000	31.72
At the end of the year	31.03.2021					33,80,000	31.72
Rajan Babubhai Mehta							
At the beginning of the year	01.04.2020			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2021					0	0
Devanshu Rashmikant Mehta							
At the beginning of the year	01.04.2020			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2021					0	0
Binal Bhukhanwala Gandhi							
At the beginning of the year	01.04.2020			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g.	-	-	-	-	-	-	-

allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2021					0	0
Sanjiv Harshad Shah							
At the beginning of the appointment	29.12.2020			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2021					0	0
Manish Dhirajlal Kansara							
At the beginning of the year	01.04.2020	-	-	6,000	0.09	6,000	0.09
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	01.10.2020	Issue of Bonus Shares	4,000	-	-	10,000	0.09
At the end of the year	31.03.2021					10,000	0.09
Aayush Kamleshbhai Shah							
At the beginning of the year	01.04.2020			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2021					0	0

V. Indebtedness:

Indebtedness of the Company including interest outstanding / accrued but not due for payment:

Particulars	Secured Loans excluding deposits	Unsecured Loans	Total Indebtedness
Indebtedness at the beginning of the financial year			
i) Principal Amount	2,89,49,487	-	2,89,49,487
ii) Interest due but not paid	1,22,174	-	1,22,174
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	2,90,71,661	-	2,90,71,661
Change in indebtedness during the financial year			
i) Addition	21,78,15,647	14,80,000	21,92,95,647
ii) Reduction	(24,68,86,768)	(14,80,000)	(24,83,66,768)
Net Change	(2,90,71,121)	-	(2,90,71,121)
Indebtedness at the end of the financial year			
i) Principal Amount	540	-	540
ii) Interest due but not paid	-	-	-
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	540	-	540

VI. Remuneration of directors and key managerial personnel-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount
		Ashish Shah	Hena Shah	
1.	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	39,00,000	15,00,000	54,00,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of profit - others, specify	-	-	-
5	Others	-	-	-

	Total (A)	39,00,000	15,00,000	54,00,000
	Ceiling as per the Act	As per the Companies Act, 2013.		

B. Remuneration to other directors:

Particulars of remuneration	Name of Independent Directors			
	Devanshu Mehta	Rajan Mehta	Binal Gandhi	Sanjiv Shah
Fee for attending Board Meeting	35,000	20,000	20,000	5,000
Fee for attending Committee Meeting	40,000	45,000	25,000	-
Fee for attending other meetings	-	-	-	-
Commission	-	-	-	-
Others, please specify	-	-	-	-
Total	75,000	65,000	45,000	5,000

C. Remuneration to key managerial personnel other than MD/Manager/WTD:

Sr. No.	Particulars of Remuneration	Key Managerial Personnel (Amount in Rs.)		
		Manish Kansara (CFO)	Aayush Shah (CS)	Total
1	Gross Salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	11,78,000	6,50,660	18,28,660
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission			
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total	11,78,700	6,50,660	18,28,660

VII. Penalties / Punishment/ Compounding of Offences: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	None				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	None				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	None				
Punishment					
Compounding					

Form AOC-1

[Pursuant to first provision to sub-section (3) of section 129 read with rules 5 of Companies (Accounts) Rules, 2014]

Statement containing salient features of the financial statements of subsidiaries/ associate companies/ joint ventures

Part "A": Subsidiaries

(Amt. in

lakhs)

Sr. No.	Particulars	
1	Name of the subsidiary	Wealth First Investment Advisers Private Limited
2	Reporting period for the subsidiary concerned, if different from the holding company's reporting period	N.A
3	Reporting currency and Exchange rate as on the last date of the relevant Financial year in the case of foreign subsidiaries	N.A
4	Share Capital	60.00
5	Reserve & surplus	7.78
6	Total assets	72.38
7	Total Liabilities	72.38
8	Investments	-
9	Turnover	20.33
10	Profit before taxation	11.86
11	Provision for taxation	(3.49)
12	Profit after taxation	8.37
13	Proposed Dividend	-
14	% of Shareholding	100

Notes: The following information shall be furnished at the end of the statement:

1. Name of subsidiaries which are yet to commence operations: **N.A**
2. Name of subsidiaries which have been liquidated or sold during the year: **N.A**