EXTRACT OF ANNUAL RETURN FORM MGT 9

[Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014]

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2019

I. Registration and other details:

CIN	L67120GJ2002PLC040636
Registration date	16/04/2002
Name of the Company	WEALTH FIRST PORTFOLIO MANAGERS LIMITED
Category / Sub-Category of the Company	Public Company/Limited by Shares
Address of the registered office	Capitol House, 10 Paras-II, Near Campus Corner,
	Prahaladnagar, Anand Nagar Road
	Ahmedabad - 380015, Gujarat, INDIA
Whether listed company (Yes/No)	Yes- National Stock Exchange of India(NSE)
	Scrip Code: WEALTH
Telephone Number	Telephone: 079-40240000
	Fax: 079-40240081
Email ID	info@wealthfirst.biz
Website	www.wealth-firstonline.com
Name, address and contact details of	Name: Bigshare Services Private Ltd
Registrar and Transfer Agent, if any	Address: A/802, Samudra Complex, Near Klassic
	Gold, Girish Cold Drink, C.G. Road, Abd - 380009
	Telephone: +91-79-40024135
	Email ID: <u>bssahd@bigshareonline.com</u>
	Website: www.bigshareonline.com

II. Principal Business Activities of the Company:

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr.	Name and Description of main	NIC Code of the Product /	% to total
No	Products / Services	Service	turnover of
			the Company
01	Sales of Bonds and Equity	66120	99.70

III. Particulars of holding, subsidiary and Associate Companies:

SI.	Name and address of the	CIN/GLN	Holding/S	% of	Applicable
No.	company		ubsidiary/	shares	Section
			Associate	held	
01	Name: Wealth First Investment Advisers Private Limited Address: Capitol House, 10 Paras-II, Near Campus Corner, Prahaladnagar, Anandnagar, Ahmedabad- 380015	U74999GJ2016PTC093213	Wholly- owned Subsidiary Company	100%	2 (87) (ii)

IV. Shareholding pattern (Equity share capital break up as % of total equity):

(i) Category-wise Shareholding:

(i) Category-V	No. of Share the year	s held at	the beginnin	g of	No. of Shares	held at th	e end of the y	ear	% c hang e
Category of Share holder	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	during the year
A. Promoters									
(1) Indian									
a) Individual/ HUF	41,39,850	0	41,39,850	64.75	41,39,850	0	41,39,850	64.75	0
b) Central Govt.	0	0	0	0	0	0	0	0	0
c) State Govt(s)	0	0	0	0	0	0	0	0	0
d) Bodies Corporates	5,70,000	0	5,70,000	8.92	5,70,000	0	5,70,000	8.92	0
e) Banks/FI	0	0	0	0	0	0	0	0	0
f) Any Other	0	0	0	0	0	0	0	0	0
SUB TOTAL A(1)	47,09,850	0	47,09,850	73.67	47,09,850	0	47,09,850	73.67	0
(2) Foreign									
a) NRI-individuals	0	0	0	0	0	0	0	0	0
b) Other Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corporate	0	0	0	0	0	0	0	0	0
d) Banks/FI	0	0	0	0	0	0	0	0	0
e) Any Other	0	0	0	0	0	0	0	0	0
Sub Total A(2)	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter (A)=(A)(1)+ (A)(2)	47,09,850	0	47,09,850	73.67	47,09,850	0	47,09,850	73.67	0
B. PUBLIC SHAREHOLDING (1) Institutions									
(1) Institutions		l							l

Grand Total (A + B + C)	63,93,000	0	63,93,000	100	63,93,000	0	63,93,000	100	0
C. Shares held by Custodian For ADRs/GDRs	0	0	0	0	0	0	0	0	0
Total Public Share- Holding (B)= (B)(1)+(B)(2)	16,83,150	0	16,83,150	26.33	16,83,150	0	16,83,150	26.33	0
SUB TOTAL B(2)	16,83,150	0	16,83,150	26.33	16,83,150	0	16,83,150	26.33	0
c)Any others (specify)	0	0	0	0	0	0	0	0	0
ii) Individuals/ HUF holding nominal shares capital in excess of Rs. 1 Lakh	8,52,000	0	8,52,000	13.33	9,24,000	0	9,24,000	14.45	1.12
b) Individuals i)Individuals/ HUF holding nominal shares capital Upto Rs. 1 Lakh	8,04,000	0	8,04,000	12.58	7,47,000	0	7,47,000	11.68	-0.90
ii) Overseas	0	0	0	0	0	0	0	0	0
i) Indian	27,150	0	27,150	0.42	12,150	0	12,150	0.19	-0.23
a) Bodies Corp.									
(2) Non- Institutions									
SUB TOTAL B(1)	0	0	0	0	0	0	0	0	0
i) Others (Specify)	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
g) FIIs	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds		0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
a) Mutual Funds b) Banks/FI	0	0	0	0	0	0	0	0	0

(ii) Shareholding of Promoters:

			Shareholding at the beginning of the year			reholding and of the ye		% change
SI. No	Shareholder's Name	No of shares	% of total shares of the compan y	% of shares pledged encumb ered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	in share holding during the year
1	Ashish Navnitlal Shah	19,99,850	31.28	-	20,55,850	32.16	-	0.88
2	Hena Ashish Shah	20,28,000	31.72	-	20,28,000	31.72	-	0
3	DSFS Shares and Stock Broking Private Limited	80,000	1.25	-	80,000	1.25	-	0
4	Wealth First Commodities Private Limited	2,40,000	3.75	-	2,40,000	3.75	-	0
5	Dalal and Shah Fiscal Services Ltd	30,000	0.47	-	30,000	0.47	-	0
6	Oraculo Stockbrokers Private Limited	2,20,000	3.44	-	2,20,000	3.44	-	0
7	Navnitlal Popatlal Shah	56,000	0.88	-	0	0	-	(0.88)
8	Navnitlal Popatlal Shah HUF	28,000	0.44	-	28,000	0.44	-	0
9	Vipin Parshottamdas Shah HUF	28,000	0.44	-	28,000	0.44	-	0

(iii) Change in Promoter's Shareholding:

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/ Decreased	Shareholding at the beginning of the year	Cumulative shareholding during the year
Ashish Navnitlal Shah					
At the beginning of the year	01.04.2018			19,99,850	19,99,850
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sw eat equity etc)	12.12.2018	Transmission of Shares	56,000		20,55,850
At the end of the year	31.03.2019				20,55,850
Navnitlal Popatlal Shah					
At the beginning of the year	01.04.2018			56,000	56,000

Date wise increase/ Decrease	12.12.2018	Transmission of	(56,000)	0
in Promoters shareholding		Shares		
during the year specifying the				
reasons for increase/decrease				
(e.g.				
allotment/transfer/bonus/sw				
eat equity etc)				
At the end of the year	31.03.2019			0

(iv) Shareholding Pattern of top ten Shareholders (Other than directors, Promoters and Holders of GDRs and ADRs):

Particulars	Date	Reason for increase/decr ease	No. of Shares Increased/ Decreased	Shareholdii the beginni the year		Cumulative shareholding during the year	
Gaurang Parmanand Shah							
At the beginning of the year	01.04.2018			2,88,000	4.50	2,88,000	4.50
Date wise increase/ Decrease in Promoters	06.04.2018	Purchase of securities	9,000			2,97,000	4.65
shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	04.05.2018	Sell of securities	(6,000)			2,91,000	4.55
	25.05.2018	Sell of securities	(3,000)			2,88,000	4.50
	08.06.2018	Purchase of securities	3,000			2,91,000	4.55
	31.08.2018	Sell of securities	(3,000)			2,88,000	4.50
	14.09.2018	Purchase of securities	3,000			2,91,000	4.55
	05.10.2018	Purchase of securities	3,000			2,94,000	4.60
	30.11.2018	Sell of securities	(6,000)			2,88,000	4.50
	29.03.2019	Sell of securities	(3,000)			2,85,000	4.46
At the end of the year	31.03.2019					2,85,000	4.46
Pratul Krishnakant Shroff							
At the beginning of the year	01.04.2018			81,000	1.27	81,000	1.27
Date wise increase/ Decrease in Promoters shareholding during the year specifying the	-	-	-			81,000	1.27

				1	1	1	
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc) At the end of the year	31.03.2019					81,000	1.27
Nitinbhai Madhukarbhai	31.03.2019					61,000	1.27
Pandya							
At the beginning of the	01.04.2018			78,000	1.22	78,000	1.22
year	01.04.2010			70,000	1.22	70,000	1.22
Date wise increase/	06.04.2018	Purchase of	3,000			81,000	1.27
Decrease in Promoters	00.04.2010	securities	3,000			01,000	1.27
shareholding during the	27.04.2018	Sell of	(3,000)			78,000	1.22
year specifying the	27.04.2010	securities	(3,000)			70,000	1.22
reasons for	25.05.2018	Sell of	(3,000)			75,000	1.17
increase/decrease (e.g.	25.05.2010	securities	(3,000)			75,000	1.17
allotment/transfer/bonu	01.06.2018	Sell of	(3,000)			72,000	1.13
s/sweat equity etc)	01.00.2010	securities	(3,000)			12,000	1.13
	08.06.2018	Purchase of	3,000			75,000	1.17
	00.00.2010	securities	3,000			73,000	1.17
	15.06.2018	Purchase of	6,000			81,000	1.27
	13.00.2010	securities	0,000			01,000	1.27
	31.08.2018	Sell of	(2,000)			70,000	1.22
	31.00.2010	securities	(3,000)			78,000	1.22
	14.09.2018	Purchase of	3,000			81,000	1.27
	14.07.2010	securities	3,000			01,000	1.27
	23.11.2018	Sell of	(3,000)			78,000	1.22
	2011112010	securities	(0,000)			7.07000	
		333					
At the end of the year	31.03.2019					78,000	1.22
Dr. Sandip Shah	31.03.2017					70,000	1.22
At the beginning of the	01.04.2018			39,000	0.61	39,000	0.61
year	01.01.2010			07,000	0.01	07,000	0.01
Date wise increase/	_	_	-			39,000	0.61
Decrease in Promoters							
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2019					39,000	0.61
Jitendra Bharatbhai Shah							
At the beginning of the	01.04.2018			39,000	0.61	39,000	0.61
year							
Date wise increase/		-	-			39,000	0.61
Decrease in Promoters							

sharshalding during the							
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2019					39,000	0.61
Udyan Navinbhai Patel							
At the beginning of the	01.04.2018			33,000	0.52	33,000	0.52
year							
Date wise increase/	-	-	-			33,000	0.52
Decrease in Promoters						·	
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2019					33,000	0.52
Hasmukh Ramji Devani	31.03.2019			+		33,000	0.52
•	01.04.2010			22,000	0.50	22.000	0.50
At the beginning of the	01.04.2018			33,000	0.52	33,000	0.52
year						22.000	0.50
Date wise increase/	-	-	-			33,000	0.52
Decrease in Promoters							
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2019					33,000	0.52
Asit Ramniklal Shah							
At the beginning of the	01.04.2018			18,000	0.28	18,000	0.28
year							
Date wise increase/	04.05.2018	Purchase of	3,000			21,000	0.33
Decrease in Promoters		securities					
shareholding during the	01.06.2018	Purchase of	3,000			24,000	0.38
year specifying the	01.00.2010	securities	3,000			24,000	0.30
reasons for		securities					
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2019			1		24,000	0.38
Shalin Sudhakarbhai Patel	555.2517					_ 1,000	5.00
At the beginning of the	01.04.2018			3,000	0.05	3,000	0.05
year	31.04.2010			3,000	0.00	3,000	0.00
Jour				1	1		

Date wise increase/ Decrease in Promoters	07.12.2018	Purchase of securities	15,000			18,000	0.28
shareholding during the year specifying the	28.12.2018	Purchase of securities	3,000			21,000	0.33
reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	15.02.2019	Purchase of securities	3,000			24,000	0.38
At the end of the year	31.03.2019					24,000	0.38
Viren Virenchandra Shah							
At the beginning of the year	01.04.2018			15,000	0.23	15,000	0.23
Date wise increase/ Decrease in Promoters	20.04.2018	Purchase of securities	6,000			21,000	0.33
shareholding during the year specifying the	06.07.2018	Purchase of securities	9,000			30,000	0.47
reasons for increase/decrease (e.g. allotment/transfer/bonu	13.07.2018	Purchase of securities	6,000			36,000	0.56
s/sweat equity etc)	07.12.2018	Sell of securities	(15,000)			21,000	0.33
At the end of the year	31.03.2019					21,000	0.33

(v) Shareholding of Directors and Key managerial Personnel:

Particulars	Date	Reason for increase/decr ease	No. of Shares Increas ed/Decr eased	_	hareholding at the sha		Cumulative shareholding during the year	
Ashish Navnitlal Shah								
At the beginning of the	01.04.2018			19,99,850	31.28	19,99,850	31.28	
year								
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	12.12.2018	Transmission of Shares	56,000	-	-	20,55,850	32.16	
At the end of the year	31.03.2019					20,55,850	32.16	
Hena Ashish Shah								
At the beginning of the	01.04.2018			20,28,000	31.72	20,28,000	31.72	
year								
Date wise increase/ Decrease in Promoters	-	-	-	-	-	-	-	

	1		1			ı	
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2019					20,28,000	31.72
	31.03.2017					20,20,000	31.72
Rajan Babubhai Mehta	01.04.0010			0	•	0	0
At the beginning of the	01.04.2018			0	0	0	0
year							
Date wise increase/							
Decrease in Promoters							
shareholding during the							
year specifying the							
reasons for	-	-	-	-	-	-	-
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2019					0	0
Devanshu Mehta	31.03.2019					0	U
Rashmikant	04.04.0040				-		
At the beginning of the	01.04.2018			0	0	0	0
year							
Date wise increase/							
Decrease in Promoters							
shareholding during the							
year specifying the							
reasons for	-	-	-	-	-	-	-
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2019					0	0
Binal Bhukhanwala	31.03.2017					0	0
Gandhi							
	01.04.0010			0	0	0	0
At the beginning of the	01.04.2018			0	0	0	0
year							
Date wise increase/							
Decrease in Promoters							
shareholding during the							
year specifying the							
reasons for	-	-	_	-	-	-	-
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2019					0	0
Manish Dhirajlal Kansara	31.00.2017						
mariisii Diiirajiai Karisara			<u> </u>				

At the beginning of the year	01.04.2018	-	-	6,000	0.09	6,000	0.09
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2019					6,000	0.09
Aayush Kamleshbhai Shah							
At the beginning of the year	01.04.2018			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2019					0	0

V. Indebtedness:

Indebtedness of the Company including interest outstanding / accrued but not due for payment:

Particulars	Secured Loans excluding deposits	Unsecured Loans	Total Indebtedness
Indebtedness at the beginning of the			
financial year			
i) Principal Amount	1,90,63,197	-	1,90,63,197
ii) Interest due but not paid	2,97,558	-	2,97,558
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	1,93,60,755	-	1,93,60,755
Change in indebtedness during the			
financial year			
i) Addition	16,62,59,93,561	-	16,62,59,93,561
ii) Reduction	(16,63,17,20,488)	-	(16,63,17,20,488)
Net Change	(57,26,927)	-	(57,26,927)
Indebtedness at the end of the financial			
year			
i) Principal Amount	1,35,33,300	-	1,35,33,300
ii) Interest due but not paid	1,00,528	-	1,00,528
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	1,36,33,828	-	1,36,33,828

VI. Remuneration of directors and key managerial personnel-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SI. No.	Particulars of Remuneration	Name of MD/W	/TD/Manager	Total Amount
		Ashish N. Shah	Hena A. Shah	
1.	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	39,00,000	16,25,000	55,25,000
	(b) Value of perquisites u/s 17(2) Incometax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-

4	Commission				
	- as % of profit		-	-	-
	- others, specify				
5	Others		-	-	-
		Total (A)	39,00,000	16,25,000	55,25,000
		Ceiling as per the Act	As per the Companies Act, 2013.		

B. Remuneration to other directors:

Particulars of remuneration	Name of Independent Directors			
	Devanshu	Rajan Mehta	Binal Gandhi	
	Mehta			
Fee for attending Board Meeting	25,000	20,000	10,000	
Fee for attending Committee Meeting	25,000	45,000	10,000	
Fee for attending other meetings	-	-	-	
Commission	-	-	-	
Others, please specify	-	-	-	
Total	50,000	65,000	20,000	

C. Remuneration to key managerial personnel other than MD/Manager/WTD:

SI. No.	Particulars of Remuneration	Key Managerial Personnel (Amount in Rs.)				
		Manish Kansara (CFO)	Aayush Shah (CS)	Total		
1	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	8,97,600	4,91,130	13,88,730		
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-		
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-		
2	Stock Option	-	-	-		
3	Sweat Equity	-	-	-		
4	Commission					
	- as % of profit	-	-	-		
	- others, specify	-	-	-		
5	Others, please specify	-	-	-		
	Total	8,97,600	4,91,130	13,88,730		

VII. Penalties / Punishment/ Compounding of Offences: NIL

Туре	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)			
A. COMPANY								
Penalty								
Punishment	None							
Compounding								
B. DIRECTORS								
Penalty								
Punishment	None							
Compounding								
C. OTHER OFFICE	RS IN DEFAULT							
Penalty								
Punishment	None	lone						
Compounding								

Form AOC-1

[Pursuant to first provision to sub-section (3) of section 129 read with rules 5 of Companies (Accounts) Rules, 2014]

Statement containing salient features of the financial statements of subsidiaries/ associate companies/ joint ventures

Part "A": Subsidiaries

(Amt. in

lakhs)

Sr No.	Particulars	
1	Name of the subsidiary	Wealth First Investment Advisers Private Limited
2	Reporting period for the subsidiary concerned, if different from the holding company's reporting period	N.A
3	Reporting currency and Exchange rate as on the last date of the relevant Financial year in the case of foreign subsidiaries	N.A
4	Share Capital	41.10
5	Reserve & surplus	0.49
6	Total assets	42.79
7	Total Liabilities	42.79
8	Investments	-
9	Turnover	6.14
10	Profit before taxation	4.63
11	Provision for taxation	(1.20)
12	Profit after taxation	3.43
13	Proposed Dividend	-
14	% of Shareholding	100

Notes: The following information shall be furnished at the end of the statement:

- 1. Name of subsidiaries which are yet to commence operations: N.A
- 2. Name of subsidiaries which have been liquidated or sold during the year: N.A