

**EXTRACT OF ANNUAL RETURN  
FORM MGT 9**

[Pursuant to Section 92 (3) of the Companies Act, 2013 and  
Rule 12(1) of the Company (Management & Administration) Rules, 2014]

**FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2018**

**I. Registration and other details:**

CIN	L67120GJ2002PLC040636
Registration date	16/04/2002
Name of the Company	WEALTH FIRST PORTFOLIO MANAGERS LIMITED
Category / Sub-Category of the Company	Public Company/Limited by Shares
Address of the registered office	Capitol House, 10 Paras-II, Near Campus Corner, Praladnagar, Anand Nagar Road Ahmedabad - 380015, Gujarat, INDIA
Whether listed company (Yes/No)	Yes- National Stock Exchange of India(NSE) Scrip Code: WEALTH
Telephone Number	Tele: 079-40240000 Fax: 079-40240081
E-mail I'd	info@wealthfirst.biz
Website	www.wealth-firstonline.com
Name, address and contact details of Registrar and Transfer Agent, if any	Name: Bigshare Services Private Ltd Address: A/802, Samudra Complex, Near Klassic Gold, Girish Cold Drink, C.G. Road, Abd - 380009 Contact No: +91-79-40024135 E-mail id: bssahd@bigshareonline.com

**II. Principal Business Activities of the Company:**

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
01	Sales of Bonds and Equity	66120	91.88



a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (Specify)	0	0	0	0	0	0	0	0	0
<b>SUB TOTAL B(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(2) Non- Institutions</b>									
a) Bodies Corp.									
i) Indian	114150	0	114150	1.79	27150	0	27150	0.42	-1.37
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individuals/ HUF holding nominal shares capital Upto Rs. 1 Lakh	813000	0	813000	12.72	804000	0	804000	12.58	-0.14
ii) Individuals/ HUF holding nominal shares capital in excess of Rs. 1 Lakh	756000	0	756000	11.82	852000	0	852000	13.33	1.51
c) Any others (specify)	0	0	0	0	0	0	0	0	0
<b>SUB TOTAL B(2)</b>	<b>1683150</b>	<b>0</b>	<b>1683150</b>	<b>26.33</b>	<b>1683150</b>	<b>0</b>	<b>1683150</b>	<b>26.33</b>	<b>0</b>
<b>Total Public Share-Holding (B)= (B)(1)+(B)(2)</b>	<b>1683150</b>	<b>0</b>	<b>1683150</b>	<b>26.33</b>	<b>1683150</b>	<b>0</b>	<b>1683150</b>	<b>26.33</b>	<b>0</b>
<b>C. Shares held by Custodian For ADRs/GDRs</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Grand Total (A + B + C)</b>	<b>6393000</b>	<b>0</b>	<b>6393000</b>	<b>100</b>	<b>6393000</b>	<b>0</b>	<b>6393000</b>	<b>100</b>	<b>0</b>

**(ii) Shareholding of Promoters:**

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Ashish N. Shah	1999850	31.28	-	1999850	31.28	-	0
2	Hena A. Shah	2000000	31.28	-	2028000	31.72	-	0.44
3	DSFS Shares and Stock Broking Private Limited	80000	1.25	-	80000	1.25	-	0
4	Wealth First Commodities private limited	240000	3.75	-	240000	3.75	-	0
5	Dalal & Shah Fiscal Services Ltd	30000	0.47	-	30000	0.47	-	0
6	Oraculo Stockbrokers Private Limited	220000	3.44	-	220000	3.44	-	0
7	Navnitlal Popatlal Shah	56000	0.88	-	56000	0.88	-	0
8	Navnitlal Popatlal Shah HUF	28000	0.44	-	28000	0.44	-	0
9	Vipin Parshottamdas Shah	28000	0.44	-	0	0	-	-0.44
10	Vipin Parshottamdas Shah HUF	28000	0.44	-	28000	0.44	-	0

**(iii) Change in Promoter's Shareholding:**

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/Decreased	Shareholding at the beginning of the year	Cumulative shareholding during the year
Hena Ashish Shah					
At the beginning of the year	01.04.2017			2000000	2000000
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	16.05.2017	Transmission of Shares	28000		2028000
At the end of the year	31.03.2018				2028000
Vipin Parshottamdas Shah					
At the beginning of the year	01.04.2017			28000	28000

Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	16.05.2017	Transmission of Shares	(28000)		0
At the end of the year	31.03.2018				0

**(iv) Shareholding Pattern of top ten Shareholders  
(Other than directors, Promoters and Holders of GDRs and ADRs):**

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/ Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Gaurang Parmanand Shah							
At the beginning of the year	01.04.2017			252000	3.94	252000	3.94
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	07.04.2017	Purchase of securities	3000			255000	3.99
	19.05.2017	Purchase of securities	6000			261000	4.08
	15.09.2017	Purchase of securities	3000			264000	4.13
	22.09.2017	Purchase of securities	9000			273000	4.27
	19.01.2018	Purchase of securities	3000			276000	4.32
	02.02.2018	Purchase of securities	6000			282000	4.41
	23.02.2018	Purchase of securities	6000			288000	4.50
	02.03.2018	Purchase of securities	3000			291000	4.55
	23.03.2018	Sell of securities	3000			288000	4.50
At the end of the year	31.03.2018					288000	4.50
Pratul Krishnakant Shroff							
At the beginning of the year	01.04.2017			81000	1.27	81000	1.27
Date wise increase/ Decrease in Promoters shareholding during the year specifying the	-	-	-			81000	1.27

reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2018					81000	1.27
Nitinbhai Madhukarbhai Pandya							
At the beginning of the year	01.04.2017			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	14.04.2017	Purchase of securities	15000			15000	0.23
	21.04.2017	Purchase of securities	51000			66000	1.03
	28.04.2017	Purchase of securities	12000			78000	1.22
	19.05.2017	Sell of securities	3000			75000	1.17
	26.05.2017	Purchase of securities	6000			81000	1.27
	07.07.2017	Purchase of securities	3000			84000	1.31
	20.09.2017	Sell of securities	9000			75000	1.17
	27.10.2017	Purchase of securities	3000			78000	1.22
	12.01.2018	Purchase of securities	3000			81000	1.27
	19.01.2018	Sell of securities	3000			78000	1.22
	16.02.2018	Sell of securities	3000			75000	1.17
	23.02.2018	Purchase of securities	3000			78000	1.22
	09.03.2018	Purchase of securities	3000			81000	1.27
	23.03.2018	Sell of securities	3000			78000	1.22
At the end of the year	31.03.2018					78000	1.22
Dr. Sandip Shah							
At the beginning of the year	01.04.2017			39000	0.61	39000	0.61
Date wise increase/ Decrease in Promoters shareholding during the	-	-	-			39000	0.61

year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2018					39000	0.61
Jitendra Bharatbhai Shah							
At the beginning of the year	01.04.2017			39000	0.61	39000	0.61
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-			39000	0.61
At the end of the year	31.03.2018					39000	0.61
Udyan Navinbhai Patel							
At the beginning of the year	01.04.2017			33000	0.52	33000	0.52
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-			33000	0.52
At the end of the year	31.03.2018					33000	0.52
Hasmukh Ramji Devani							
At the beginning of the year	01.04.2017			33000	0.52	33000	0.52
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-			33000	0.52
At the end of the year	31.03.2018					33000	0.52
Rahul Gaurang Shah							
At the beginning of the year	01.04.2017			18000	0.28	18000	0.28
Date wise increase/ Decrease in Promoters	-	-	-			18000	0.28

shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2018					18000	0.28
Swati Vijay Devanhally							
At the beginning of the year	01.04.2017			18000	0.28	18000	0.28
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-			18000	0.28
At the end of the year	31.03.2018					18000	0.28
Namrata Udyan Patel							
At the beginning of the year	01.04.2017			18000	0.28	18000	0.28
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-			18000	0.28
At the end of the year	31.03.2018					18000	0.28

**(v) Shareholding of Directors and Key managerial Personnel:**

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/ Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Ashish Navnitlal Shah							
At the beginning of the year	01.04.2017			1999850	31.28	1999850	31.28



Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2018					1999850	31.28
Hena Ashish Shah							
At the beginning of the year	01.04.2017			2000000	31.28	2000000	31.28
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	16.05.2017	Transmission of Shares	28000	-	-	2028000	31.72
At the end of the year	31.03.2018					2028000	31.72
Harish Virendra Gandhi (Till 28 <sup>th</sup> August, 2017)							
At the beginning of the year	01.04.2017			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2018					0	0
Rajan Babubhai Mehta							
At the beginning of the year	01.04.2017			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2018					0	0

Devanshu Mehta Rashmikant							
At the beginning of the year	01.04.2017			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2018					0	0
Binal Bhukhanwala Gandhi (w.e.f. 28 <sup>th</sup> August, 2017)							
At the beginning of the year	01.04.2017			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2018					0	0
Manish Dhirajlal Kansara							
At the beginning of the year	01.04.2017	-	-	0	0	6000	0.09
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2018					6000	0.09
Aayush Kamleshbhai Shah							
At the beginning of the year	01.04.2017			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the	-	-	-	-	-	-	-

reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2018					0	0

## V. Indebtedness:

Indebtedness of the Company including interest outstanding / accrued but not due for payment:

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	18,26,70,182.99	-	35,03,000.00	18,61,73,182.99
ii) Interest due but not paid	18,59,635.00	-	-	18,59,635.00
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>18,45,29,817.99</b>	<b>-</b>	<b>35,03,000.00</b>	<b>18,80,32,817.99</b>
<b>Change in indebtedness during the financial year</b>				
i) Addition	50,75,72,45,277.84	1,00,000.00	18,61,600.00	50,75,92,06,877.84
ii) Reduction	50,92,24,14,340.36	1,00,000.00	75,000.00	50,92,25,89,340.36
<b>Net Change</b>	<b>(16,51,69,062.52)</b>	<b>-</b>	<b>17,86,600.00</b>	<b>(16,33,82,462.52)</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	1,90,63,197.47	-	52,89,600.00	2,43,52,797.47
ii) Interest due but not paid	2,97,558.00	-	-	2,97,558.00
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>1,93,60,755.47</b>	<b>-</b>	<b>52,89,600.00</b>	<b>2,46,50,355.47</b>

## VI. Remuneration of directors and key managerial personnel-

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount
		Ashish N. Shah	Hena A. Shah	
1.	Gross salary	30,00,000	15,00,000	45,00,000
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of profit - others, specify	-	-	-
5	Others	-	-	-
	<b>Total (A)</b>	<b>30,00,000</b>	<b>15,00,000</b>	<b>45,00,000</b>
	Ceiling as per the Act	As per the Companies Act, 2013.		

### B. Remuneration to other directors:

Particulars of remuneration	Name of Independent Directors			
	Devanshu Mehta	Rajan Mehta	Harish Gandhi (Till 28 <sup>th</sup> August, 2017)	Binal Gandhi (w.e.f 28 <sup>th</sup> August, 2017)
Fee for attending Board Meeting	15,000	25,000	0	15,000
Fee for attending Committee Meeting	30,000	35,000	0	5,000
Fee for attending other meetings	-	-	-	-
Commission	-	-	-	-
Others, please specify	-	-	-	-
<b>Total</b>	<b>45,000</b>	<b>60,000</b>	<b>0</b>	<b>20,000</b>

C. Remuneration to key managerial personnel other than MD/Manager/WTD:

Sl. No.	Particulars of Remuneration	Key Managerial Personnel (Amount in Rs.)		
		Manish Kansara (CFO)	Aayush Shah (CS)	Total
<b>1</b>	<b>Gross salary</b>			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	7,75,667	3,57,150	11,32,817
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission			
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	<b>Total</b>	<b>7,75,667</b>	<b>3,57,150</b>	<b>11,32,817</b>

**VII. Penalties / Punishment/ Compounding of Offences: NIL**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty	None				
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty	None				
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	None				
Punishment					
Compounding					

## Form AOC-1

[Pursuant to first provision to sub-section (3) of section 129 read with rules 5 of Companies (Accounts) Rules, 2014]

Statement containing salient features of the financial statements of subsidiaries/ associate companies/ joint ventures

### Part "A": Subsidiaries

(Amt. in

lakhs)

Sr No.	Particulars	
1	Name of the subsidiary	Wealth First Investment Advisers Private Limited
2	Reporting period for the subsidiary concerned, if different from the holding company's reporting period	N.A
3	Reporting currency and Exchange rate as on the last date of the relevant Financial year in the case of foreign subsidiaries	N.A
4	Share Capital	41.10
5	Reserve & surplus	(2.94)
6	Total assets	38.16
7	Total Liabilities	38.16
8	Investments	-
9	Turnover	-
10	Profit before taxation	(1.39)
11	Provision for taxation	-
12	Profit after taxation	(1.39)
13	Proposed Dividend	-
14	% of Shareholding	100

**Notes:** The following information shall be furnished at the end of the statement:

1. Name of subsidiaries which are yet to commence operations

**Wealth First Investment Advisers Private Limited**

2. Name of subsidiaries which have been liquidated or sold during the year: **N.A**