

**EXTRACT OF ANNUAL RETURN
FORM MGT 9**

[Pursuant to Section 92 (3) of the Companies Act, 2013 and
Rule 12(1) of the Company (Management & Administration) Rules, 2014]

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2017

I. Registration and other details:

CIN	L67120GJ2002PLC040636
Registration date	16/04/2002
Name of the Company	WEALTH FIRST PORTFOLIO MANAGERS LIMITED
Category / Sub-Category of the Company	Public Company/Limited by Shares
Address of the registered office	Capitol House, 10 Paras-II, Near Campus Corner, Prahaldnagar, Anand Nagar Road Ahmedabad Gujarat INDIA 380015
Whether listed company (Yes/No)	Yes- National Stock Exchange of India(NSE) Scrip Code: WEALTH
Telephone Number	Tele: 079-40240000 Fax: 079-40240081
E-mail I'd	info@wealthfirst.biz
Website	www.wealth-firstonline.com
Name, address and contact details of Registrar and Transfer Agent, if any	Name: Bigshare Services Private Ltd Address: A/802, Samudra Complex, Near Klassic Gold, Girish Cold Drink, C.G. Road, Abd - 380009 Contact No: +91-79-40024135 E-mail id: bssahd@bigshareonline.com

II. Principal Business Activities of the Company:

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
01	Sales of Bonds and Equity	66120	93.68

a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FII's	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (Specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(1)	0	0	0	0	0	0	0	0	0
(2) Non- Institutions									
a) Bodies Corp.									
i) Indian	165150	0	165150	2.59	114150	0	114150	1.79	-0.8
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individuals shareholders holding nominal shares capital Upto Rs. 1 Lakh	993000	0	993000	15.53	813000	0	813000	12.72	-2.81
ii) Individuals shareholders holding nominal shares capital in excess of Rs. 1 Lakh	525000	0	525000	8.21	756000	0	756000	11.82	3.61
c) Any others (specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(2)	1683150	0	1683150	26.33	1683150	0	1683150	26.33	0
Total Public Share-Holding (B)= (B)(1)+(B)(2)	1683150	0	1683150	26.33	1683150	0	1683150	26.33	0
C. Shares held by Custodian For ADRs/GDRs	0	0	0	0	0	0	0	0	0
Grand Total (A + B + C)	6393000	0	6393000	100	6393000	0	6393000	100	0

(ii) Shareholding of Promoters:

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Ashish N. Shah	1999850	31.28	-	1999850	31.28	-	0
2	Hena A. Shah	2000000	31.28	-	2000000	31.28	-	0
3	DSFS Shares and Stock Broking Private Limited	80000	1.25	-	80000	1.25	-	0
4	Wealth First Commodities private limited	240000	3.75	-	240000	3.75	-	0
5	Dalal & Shah Fiscal Services Ltd	30000	0.47	-	30000	0.47	-	0
6	Oraculo Stockbrokers Private Limited	220000	3.44	-	220000	3.44	-	0
7	Navnitlal Popatlal Shah	56000	0.88	-	56000	0.88	-	0
8	Navnitlal Popatlal Shah HUF	28000	0.44	-	28000	0.44	-	0
9	Vipin Parshottamdas Shah	28000	0.44	-	28000	0.44	-	0
10	Vipin Parshottamdas Shah HUF	28000	0.44	-	28000	0.44	-	0

(iii) Change in Promoter's Shareholding (please specify, if there is no change):

There is no change in Promoter's Shareholding.

**(iv) Shareholding Pattern of top ten Shareholders
(Other than directors, Promoters and Holders of GDRs and ADRs):**

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Gaurang Parmanand Shah							
At the beginning of the year	01.04.2016			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	08.04.2016	Purchase of securities	75000			75000	1.17
	15.04.2016	Purchase of securities	21000			96000	1.50
	22.04.2016	Purchase of securities	3000			99000	1.55
	29.04.2016	Purchase of securities	9000			108000	1.69
	13.05.2016	Purchase of securities	6000			114000	1.78
	20.05.2016	Purchase of securities	3000			117000	1.83
	03.06.2016	Purchase of securities	3000			120000	1.88
	10.06.2016	Purchase of securities	24000			144000	2.25
	17.06.2016	Purchase of securities	21000			165000	2.58
	24.06.2016	Purchase of securities	15000			180000	2.82
	30.06.2016	Purchase of securities	12000			192000	3.00
	15.07.2016	Purchase of securities	3000			195000	3.05
	22.07.2016	Purchase of securities	9000			204000	3.19
	29.07.2016	Purchase of securities	6000			210000	3.28
	16.09.2016	Purchase of securities	9000			219000	3.43
28.10.2016	Purchase of securities	15000			234000	3.66	

	18.11.2016	Purchase of securities	12000			246000	3.85
	06.01.2017	Purchase of securities	3000			249000	3.89
	20.01.2017	Purchase of securities	3000			252000	3.94
At the end of the year	31.03.2017					252000	3.94
Innovate Securities Private Limited							
At the beginning of the year	01.04.2016			84150	1.32	84150	1.32
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	06.05.2016	Sell of Securities	3000			81150	1.27
	13.05.2016	Purchase of securities	3000			84150	1.32
	29.07.2016	Sell of Securities	3000			81150	1.27
	12.08.2016	Purchase of securities	3000			84150	1.32
	23.09.2016	Purchase of securities	3000			87150	1.36
	30.09.2016	Purchase of securities	3000			90150	1.41
	21.10.2016	Purchase of securities	3000			93150	1.46
	28.10.2016	Purchase of securities	6000			99150	1.55
	04.11.2016	Sell of securities	3000			96150	1.50
	18.11.2016	Sell of securities	12000			84150	1.32
	10.02.2017	Sell of securities	3000			81150	1.27
At the end of the year	31.03.2017					81150	1.27
Pratul Krishnakant Shroff							
At the beginning of the year	01.04.2016			81000	1.27	81000	1.27
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g.	-	-	-			81000	1.27

allotment/transfer/ bonus/sweat equity etc)							
At the end of the year	31.03.2017					81000	1.27
Dr. Sandip Shah							
At the beginning of the year	01.04.2016			39000	0.61	39000	0.61
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-			39000	0.61
At the end of the year	31.03.2017					39000	0.61
Jitendra Bharatbhai Shah							
At the beginning of the year	01.04.2016			39000	0.61	39000	0.61
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-			39000	0.61
At the end of the year	31.03.2017					39000	0.61
Udyan Navinbhai Patel							
At the beginning of the year	01.04.2016			33000	0.52	33000	0.52
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-			33000	0.52
At the end of the year	31.03.2017					33000	0.52
Hasmukh Ramji Devani							
At the beginning of the year	01.04.2016			33000	0.52	33000	0.52
Date wise increase/ Decrease in Promoters shareholding during the year specifying the	-	-	-			33000	0.52

reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)							
At the end of the year	31.03.2017					33000	0.52
Rahul Gaurang Shah							
At the beginning of the year	01.04.2016			18000	0.28	18000	0.28
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-			18000	0.28
At the end of the year	31.03.2017					18000	0.28
Swati Vijay Devanhally							
At the beginning of the year	01.04.2016			18000	0.28	18000	0.28
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-			18000	0.28
At the end of the year	31.03.2017					18000	0.28
Namrata Udyan Patel							
At the beginning of the year	01.04.2016			18000	0.28	18000	0.28
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-			18000	0.28
At the end of the year	31.03.2017					18000	0.28

(v) Shareholding of Directors and Key managerial Personnel:

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Ashish N. Shah							
At the beginning of the year	01.04.2016			1999850	31.28	1999850	31.28
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2017					1999850	31.28
Hena A. Shah							
At the beginning of the year	01.04.2016			2000000	31.28	2000000	31.28
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2017					2000000	31.28
Harish V. Gandhi (Till 28 th August, 2017)							
At the beginning of the year	01.04.2016			0	0	0	0
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2017					0	0
Rajan B. Mehta							
At the beginning of the year	01.04.2016			0	0	0	0

Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2017					0	0
Devanshu R. Mehta							
At the beginning of the year	01.04.2016			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2017					0	0
Binal Gandhi (w.e.f. 28 th August, 2017)							
At the beginning of the year	01.04.2016			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2017					0	0
Manish D. Kansara							
At the beginning of the year	01.04.2016	-	-	0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	29.04.2016	Purchase of securities	3000	-	-	3000	0.05
At the end of the year	31.03.2017					3000	0.05
Aayush K. Shah							

At the beginning of the year	01.04.2016			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/ bonus/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2017					0	0

V. Indebtedness:

Indebtedness of the Company including interest outstanding / accrued but not due for payment:

(In Lakhs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	987.51976	778.17	28.89	1794.57976
ii) Interest due but not paid	6.69	0.00	0.00	6.69
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	994.20976	778.17	28.89	1801.26976
Change in indebtedness during the financial year				
i) Addition	1347.19024	0.00	7.85	1355.04024
ii) Reduction	(489.42)	(787.87)	(1.71)	(1279)
Net Change	857.77024	(787.87)	6.14	76.04024
Indebtedness at the end of the financial year				
i) Principal Amount	1845.29	(9.70)	35.03	1870.62
ii) Interest due but not paid	6.37	0.00	0.00	6.37
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	1851.66	(9.70)	35.03	1876.99

VI. Remuneration of directors and key managerial personnel-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount
		Ashish N. Shah	Hena A. Shah	
1.	Gross salary	18,60,000	15,00,000	33,60,000
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of profit - others, specify	-	-	-
5	Others	-	-	-
	Total (A)	18,60,000	15,00,000	33,60,000
	Ceiling as per the Act	As per the Companies Act, 2013.		

B. Remuneration to other directors:

Particulars of remuneration	Name of Independent Directors		
	Devanshu Mehta	Rajan Mehta	Harish Gandhi
Fee for attending Board Meeting	20,000	15,000	10,000
Fee for attending Committee Meeting	20,000	30,000	10,000
Fee for attending other meetings	10,000	10,000	10,000
Commission	-	-	-
Others, please specify	-	-	-
Total	50,000	55,000	30,000

C. Remuneration to key managerial personnel other than MD/Manager/WTD:

Sl. No.	Particulars of Remuneration	Key Managerial Personnel (Amount in Rs.)		
		Manish Kansara (CFO)	Aayush Shah (CS)	Total
1	Gross salary	7,68,334	3,22,734	10,91,068
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total	7,68,334	3,22,734	10,91,068

VII. Penalties / Punishment/ Compounding of Offences: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	None				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	None				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	None				
Punishment					
Compounding					

Form AOC-1

[Pursuant to first provision to sub-section (3) of section 129 read with rules 5 of Companies (Accounts) Rules, 2014]

Statement containing salient features of the financial statements of subsidiaries/ associate companies/ joint ventures

Part "A": Subsidiaries

(Amt. in lakhs)

Sr No.	Particulars	
1	Name of the subsidiary	Wealth First Investment Advisers Private Limited
2	Reporting period for the subsidiary concerned, if different from the holding company's reporting period	From 3 rd of August, 2016 to 31 st March, 2017
3	Reporting currency and Exchange rate as on the last date of the relevant Financial year in the case of foreign subsidiaries	N.A
4	Share Capital	41.10
5	Reserve & surplus	(1.55)
6	Total assets	-
7	Total Liabilities	39.55
8	Investments	-
9	Turnover	5.03
10	Profit before taxation	(1.55)
11	Provision for taxation	-
12	Profit after taxation	(1.55)
13	Proposed Dividend	-
14	% of Shareholding	100

Notes: The following information shall be furnished at the end of the statement:

1. Name of subsidiaries which are yet to commence operations

Wealth First Investment Advisers Private Limited

2. Name of subsidiaries which have been liquidated or sold during the year: **N.A**