## EXTRACT OF ANNUAL RETURN FORM MGT 9

[Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014]

### FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2016

#### I. Registration and other details:

CIN	L67120GJ2002PLC040636
Registration date	16/04/2002
Name of the Company	WEALTH FIRST PORTFOLIO MANAGERS LIMITED
Category / Sub-Category of the Company	Public Company/Limited by Shares
Address of the registered office and contact	Capitol House, 10 Paras-II, Near Campus Corner,
details	Prahaladnagar, Anand Nagar Road
	Ahmedabad
	Gujarat
	INDIA
	380015
Whether listed company (Yes/No)	Yes- National Stock Exchange of India (NSE)
	Code: WEALTH
Telephone Number	Tele: 079-40240000
	Fax: 079-40240081
E-mail I'd	manish@wealthfirst.biz
Website	www.wealth-firstonline.com
Name, address and contact details of	Name: Bigshare Services Private Ltd
Registrar and Transfer Agent, if any	Address: E-2 & 3, Ansa Industrial Estate, Saki-Vihar
	Road., Sakinaka, Andheri (E), Mumbai, Maharashtra
	400072
	Contact No: 022 4043 0200

### II. Principal Business Activities of the Company:

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr No	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
01	Sales of Bonds and Equity	66120	93.40

### III. Particulars of holding, subsidiary and Associate Companies: N.A

SI.	Name and address of the	CIN/GLN	Holding/	% of	Applicable
No.	company		Subsidiary/	shares	Section
			Associate	held	

## IV. Shareholding pattern (Equity share capital break up as % of total equity):

(i) Category-wise Shareholding:

(I) Category-V			the beginnin	g of	No. of Shar	es held at th	e end of the		% change
Category of Share holder		Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	during the year
A. Promoters									
(1) Indian									
a) Individual/ HUF	0	1035000	1035000	87.90	4139850	0	4139850	64.75	-23.15
b) Central Govt.	0	0	0	0	0	0	0	0	0
c) State Govt(s)	0	0	0	0	0	0	0	0	0
d) Bodies Corporates	0	142500	142500	12.10	570000	0	570000	8.92	-3.18
e) Banks/FI	0	0	0	0	0	0	0	0	0
f) Any Other	0	0	0	0	0	0	0	0	0
SUB TOTAL A(1)	0	1177500	1177500	100	4709850	0	4709850	73.67	-26.33
(2) Foreign									
a) NRI-individuals	0	0	0	0	0	0	0	0	0
b) Other Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corporate	0	0	0	0	0	0	0	0	0
d) Banks/FI	0	0	0	0	0	0	0	0	0
e) Any Other	0	0	0	0	0	0	0	0	0
Sub Total A(2)	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter (A)=(A)(1)+	0	1177500	1177500	100	4709850	0	4709850	73.67	-26.33
(A)(2)									
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (Specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(1)	0	0	0	0	0	0	0	0	0
(2) Non- Institutions									
a) Bodies Corp.									
i) Indian	0	0	0	0	165150	0	165150	2.59	2.59
ii) Overseas	0	0	0	0	0	0	0	0	0

b) Individuals									
i)Individuals shareholders holding nominal shares capital Upto Rs. 1 Lac	0	0	0	0	993000	0	993000	15.53	15.53
ii) Individuals shareholders holding nominal shares capital in excess of Rs. 1 lac	0	0	0	0	525000	0	525000	8.21	8.21
c)Any others (specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(2)	0	0	0	0	1683150	0	1683150	26.33	26.33
Total Public Share- Holding (B)= (B)(1)+(B)(2)	0	0	0	0	1683150	0	1683150	26.33	26.33
C. Shares held by Custodian For ADRs/GDRs	0	0	0	0	0	0	0	0	0
Grand Total (A + B + C)	0	1177500	1177500	100	6393000	0	6393000	100	-

<sup>\*</sup>Company has issued 35,32,500 Equity Shares as Bonus Shares on 09<sup>th</sup> of October, 2015 and issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on 23<sup>rd</sup> of March, 2016.

(ii) Shareholding of Promoters:

	Shareholder's Name		eholding a			areholding a		% change
SI. No		No of shares	% of total shares of the company	% of shares pledged encumbere d to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	in share holding during the year
1	Ashish N Shah	500000	42.46	-	1999850	31.28	-	-11.18
2	Hena A Shah	500000	42.46	-	2000000	31.28	-	-11.18
3	DSFS Shares and Stock Broking Private Limited	20000	1.70	-	80000	1.25	-	-0.45
4	Wealth First Commodities private limited	60000	5.10	-	240000	3.75	-	-1.35
5	Dalal & Shah Fiscal Services Ltd	7500	0.65	-	30000	0.47	-	-0.18
6	Oraculo Stockbrokers Private Limited	55000	4.68	-	220000	3.44	-	-1.24
7	Wealth First Advisors Private Limited	-	-	-	-	-	-	-

8	Navnitlal Popatlal Shah	7000	0.59	-	56000	0.88	-	0.29
9	Navnitlal Popatlal Shah HUF	7000	0.59	-	28000	0.44	-	-0.15
10	Vipin Parshottamdas Shah	7000	0.59	ı	28000	0.44	-	-0.15
11	Vipin Parshottamdas Shah HUF	7000	0.59	-	28000	0.44	-	-0.15
12	Kusum Navnitlal Shah	7000	0.59	-	0	0	-	-0.59

<sup>\*</sup>Company has issued 35,32,500 Equity Shares as Bonus Shares on 09<sup>th</sup> of October, 2015 and issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on 23<sup>rd</sup> of March, 2016.

### (iii) Change in Promoter's Shareholding (please specify, if there is no change):

Particulars	Date	Reason for increase/ decrease	Shares the beginning of sharehold		Cumulative shareholdi during the	ng	
Ashish N. Shah							
At the beginning of the year	01.04.2015			500000	42.46	500000	42.46
Date wise increase/ Decrease in Promoters	09.10.2015	Bonus Shares Allotment	1500000			2000000	42.46
shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	23.03.2016	Transfer of Shares	(150)			1999850	31.28
At the end of the year	31.03.2016					1999850	31.28
Hena A. Shah							
At the beginning of the year	01.04.2015			500000	42.46	500000	42.46
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	1500000			2000000	42.46
At the end of the year	31.03.2016					2000000	31.28
DSFS Shars and Stockbroking Pvt. Ltd							
At the beginning of the year	01.04.2015			20000	1.70	20000	1.70

Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	60000			80000	1.70
At the end of the year	31.03.2016					80000	1.25
Oraculo Stockbrokers Pvt Ltd							
At the beginning of the year	01.04.2015			55000	4.68	55000	4.68
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	165000			220000	4.68
At the end of the year	31.03.2016					220000	3.44
Wealth First							
Commodities Pvt Ltd	04.04.0045			(0000	F 40	10000	F 10
At the beginning of the year	01.04.2015			60000	5.10	60000	5.10
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	180000			240000	5.10
At the end of the year	31.03.2016					240000	3.75
Dalal And Shah Fiscal Services Ltd							
At the beginning of the year	01.04.2015			7500	0.65	7500	0.65
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	22500			30000	0.65

At the end of the year	31.03.2016					30000	0.47
Navnitlal Popatlal Shah	0110012010						0117
At the beginning of the	01.04.2015			7000	0.59	7000	0.59
year							
Date wise increase/	09.10.2015	Bonus Shares	21000			28000	0.59
Decrease in Promoters		Allotment					
shareholding during the	26.10.2015	Transfer of	28000			56000	1.19
year specifying the reasons for		Shares					
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					56000	0.88
Navnitlal Popatlal Shah							
HUF	01.04.2015			7000	0.50	7000	0.50
At the beginning of the year	01.04.2015			7000	0.59	7000	0.59
Date wise increase/	09.10.2015	Bonus Shares	21000			28000	0.59
Decrease in Promoters	07.10.2010	Allotment	21000			20000	0.07
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)  At the end of the year	31.03.2016					28000	0.44
Vipin Parshottamdas	31.03.2010					20000	0.44
Shah							
At the beginning of the	01.04.2015			7000	0.59	7000	0.59
year							
Date wise increase/	09.10.2015	Bonus Shares	21000			28000	0.59
Decrease in Promoters		Allotment					
shareholding during the year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					28000	0.44
Vipin Parshottamdas							
Shah HUF	01.04.2015			7000	0.59	7000	0.59
At the beginning of the year	01.04.2015			7000	0.59	7000	0.39
Date wise increase/	09.10.2015	Bonus Shares	21000			28000	0.59
Decrease in Promoters	57.10.2010	Allotment					
shareholding during the							
year specifying the							

reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)							
At the end of the year	31.03.2016					28000	0.44
Kusum Navnitlal Shah							
At the beginning of the	01.04.2015			7000	0.59	7000	0.59
year							
Date wise increase/	09.10.2015	Bonus Shares	21000			28000	0.59
Decrease in Promoters		Allotment					
shareholding during the							
year specifying the	26.10.2015	Transfer of	(28000)			0	0
reasons for		Shares					
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					0	0

<sup>\*</sup>Company has issued 35,32,500 Equity Shares as Bonus Shares on 09<sup>th</sup> of October, 2015 and issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on 23<sup>rd</sup> of March, 2016.

# (iv) Shareholding Pattern of top ten Shareholders (Other than directors, Promoters and Holders of GDRs and ADRs):

Particulars	Date	Reason for increase/ decrease	No. of Shares Increased/ Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Innovate Securities							
Private Limited							
At the beginning of the	01.04.2015			0	0	0	0
year							
Date wise increase/	23.03.2016	Allotment of	84000			84000	1.31
Decrease in Promoters		Shares					
shareholding during the							
year specifying the	23.03.2016	Transfer of	150			84150	1.32
reasons for		Shares					
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					84150	1.32
Pratul K. Shroff							
At the beginning of the	01.04.2015			0	0	0	0
year							
Date wise increase/	23.03.2016	Allotment of	81000			81000	1.27
Decrease in Promoters		Shares					

shareholding during the year specifying the							
reasons for							
increase/decrease (e.g. allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					81000	1.27
Jitendra B. Shah	31.03.2010					01000	1.27
At the beginning of the	01.04.2015			0	0	0	0
year	01.04.2013					l o	
Date wise increase/	23.03.2016	Allotment of	39000			39000	0.61
Decrease in Promoters	20.00.2010	Shares	07000			37000	0.01
shareholding during the		0.10.00					
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					39000	0.61
Dr. Sandip Shah							
At the beginning of the	01.04.2015			0	0	0	0
year							
Date wise increase/	23.03.2016	Allotment of	39000			39000	0.61
Decrease in Promoters		Shares					
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)	04 00 004 /					00000	0.44
At the end of the year	31.03.2016					39000	0.61
Hasmukh R. Devani	01 04 2015						0
At the beginning of the	01.04.2015			0	0	0	0
year	22.02.2017	Alletment of	22000			22000	0.50
Date wise increase/ Decrease in Promoters	23.03.2016	Allotment of	33000			33000	0.52
		Shares					
shareholding during the							
year specifying the reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					33000	0.52
Udyan N. Patel	31.03.2010					33000	0.02
At the beginning of the	01.04.2015			0	0	0	0
year	01.01.2010						
Jour		<u> </u>	1				

Date wise increase/	23.03.2016	Allotment of	33000			33000	0.52
Decrease in Promoters shareholding during the		Shares					
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)	24 02 204 (					22000	0.50
At the end of the year	31.03.2016					33000	0.52
Edelweiss Broking Limited							
At the beginning of the	01.04.2015			0	0	0	0
year	01.01.2010						
Date wise increase/	31.03.2016	Purchase of	21000			21000	0.33
Decrease in Promoters		Shares					
shareholding during the							
year specifying the							
reasons for increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					21000	0.33
Bimla Rani	01.04.2015			0	0	0	0
Date wise increase/	23.03.2016	Allotment of	18000			18000	0.28
Decrease in Promoters		Shares					
shareholding during the							
year specifying the reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					18000	0.28
Rahul G. Shah	01.04.2015			0	0	0	0
Date wise increase/	23.03.2016	Allotment of	18000			18000	0.28
Decrease in Promoters shareholding during the		Shares					
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					18000	0.28
Swati V. Devanhally	01.04.2015	Allatina	10000	0	0	10000	0
Date wise increase/ Decrease in Promoters	23.03.2016	Allotment of Shares	18000			18000	0.28
shareholding during the		Silai CS					
year specifying the							
J - 2		1	I				

reasons for					
increase/decrease (e.g.					
allotment/transfer/bonu					
s/sweat equity etc)					
At the end of the year	31.03.2016			18000	0.28

 $<sup>^*</sup>$ Company has issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on  $23^{rd}$  of March, 2016.

## (v) Shareholding of Directors and Key managerial Personnel:

Particulars	Date	Reason for increase/ decrease	No. of Shares Increased/ Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Ashish N. Shah							
At the beginning of the	01.04.2015			500000	42.46	500000	42.46
year							
Date wise increase/	09.10.2015	Bonus	1500000			2000000	42.46
Decrease in Promoters		Shares					
shareholding during the		Allotment					
year specifying the	23.03.2016	Transfer of	(150)			1999850	31.28
reasons for		Shares					
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					1999850	31.28
Hena A. Shah							
At the beginning of the	01.04.2015			500000	42.46	500000	42.46
year							
Date wise increase/	09.10.2015	Bonus	1500000			2000000	42.46
Decrease in Promoters		Shares					
shareholding during the		Allotment					
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016					2000000	31.28
Harish V. Gandhi							
At the beginning of the	01.04.2015	-	-	-	-	-	-
year							
Date wise increase/	-	-	-	-	-	-	-
Decrease in Promoters							
shareholding during the							
year specifying the							
reasons for							

:						<u> </u>	
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016	-	-	-	-	-	-
Rajan B. Mehta							
At the beginning of the	01.04.2015	-	-	-	-	-	-
year							
Date wise increase/	-	-	-	-	-	-	-
Decrease in Promoters							
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016		_	<u> </u>	_	_	_
Devanshu R. Mehta	31.03.2010	-	-	<del> </del> -	-	-	-
	01.04.2015			1			
At the beginning of the	01.04.2015	-	-	-	-	-	-
year				1			
Date wise increase/	-	-	-	-	-	-	-
Decrease in Promoters							
shareholding during the							
year specifying the							
reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016	-	-	-	-	-	-
Manish D. Kansara							
At the beginning of the	01.04.2015		-	_	_	_	_
year	0110112010						
Date wise increase/	_		_	<del> </del>	_	_	_
Decrease in Promoters		-	_		_	_	_
shareholding during the							
year specifying the reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)				1			
At the end of the year	31.03.2016	-	-	-	-	-	-
Aayush K. Shah				1			
At the beginning of the	01.04.2015	-	-	-	-	-	-
year							
Date wise increase/	-	-	-	-	-	-	-
Decrease in Promoters							
shareholding during the							
year specifying the							
J	I		1	1	I .	I	l .

reasons for							
increase/decrease (e.g.							
allotment/transfer/bonu							
s/sweat equity etc)							
At the end of the year	31.03.2016	-	-	-	-	-	-

<sup>\*</sup>Company has issued 35,32,500 Equity Shares as Bonus Shares on 09<sup>th</sup> of October, 2015 and issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on 23<sup>rd</sup> of March, 2016.

### V. Indebtedness:

Indebtedness of the Company including interest outstanding / accrued but not due for payment:

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the				
financial year				
i) Principal Amount	5,10,58,594	97,344	19,98,000	5,31,53,938
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	5,10,58,594	97,344	19,98,000	5,31,53,938
Change in indebtedness during the				
financial year				
i) Addition	4,13,47,13,644	7,27,98,139	10,04,24,000	4,30,79,35,783
ii) Reduction	4,09,13,08,041	6,83,95,483	9,95,33,000	4,25,92,36,524
Net Change	4,34,05,603	44,02,656	8,91,000	4,86,99,259
Indebtedness at the end of the financial				
year				
i) Principal Amount	9,44,64,197	45,00,000	28,89,000	10,18,53,197
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	9,44,64,197	45,00,000	28,89,000	10,18,53,197

### VI.

Remuneration of directors and key managerial personnel-Remuneration to Managing Director, Whole-time Directors and/or Manager: A.

SI.	Particulars of Remuneration	Name of MD/W	Total	
No.			J	Amount
		Ashish N. Shah	Hena A. Shah	
1.	Gross salary	41,50,000	17,50,000	59,00,000
	(a) Salary as per provisions contained in	-	-	-
	section 17(1) of the Income-tax Act, 1961			
	(b) Value of perquisites u/s 17(2) Income-	-	-	_
	tax Act, 1961			
	(c) Profits in lieu of salary under section	-	-	
	17(3) Income- tax Act, 1961			_
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	
	- as % of profit			-
	- others, specify			
5	Others, please specify	-	-	-
	Total (A)	41,50,000	17,50,000	59,00,000
	Ceiling as per the Act	As per th	e Companies Ac	t, 2013.

#### Remuneration to other directors: B.

Transaction to other an octor								
Particulars of remuneration	Name of Indep	endent Directo	ors					
	Devanshu	Premal	Harish					
	Mehta	Mehta	Gandhi					
Fee for attending Board Meeting	-	-	-					
Fee for attending Committee	-	-	-					
Meeting								
Commission	-	-	-					
Others, please specify	-	-	-					
Total	-	-	-					

## C. Remuneration to key managerial personnel other than MD/Manager/WTD:

SI. No.	Particulars of Remuneration	Key Manager	Key Managerial Personnel (Amount in Rs.)				
		Manish Kansara (CFO)	Aayush Shah (CS)	Total			
1	Gross salary	7,75,834	1,34,000	9,09,834			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-			
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-			
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-			
2	Stock Option	_	-	-			
3	Sweat Equity	_	-	-			
4	Commission	_	-	-			
	- as % of profit	-	-	-			
	- others, specify	-	-	-			
5	Others, please specify		-				
	Total	7,75,834	1,34,000	9,09,834			

## VII. Penalties / Punishment/ Compounding of Offences: NIL

Туре	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)					
A. COMPANY										
Penalty										
Punishment	None									
Compounding										
B. DIRECTORS										
Penalty										
Punishment	None									
Compounding										
C. OTHER OFFICE	C. OTHER OFFICERS IN DEFAULT									
Penalty										
Punishment	None									
Compounding										