

**EXTRACT OF ANNUAL RETURN
FORM MGT 9**

[Pursuant to Section 92 (3) of the Companies Act, 2013 and
Rule 12(1) of the Company (Management & Administration) Rules, 2014]

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2016

I. Registration and other details:

CIN	L67120GJ2002PLC040636
Registration date	16/04/2002
Name of the Company	WEALTH FIRST PORTFOLIO MANAGERS LIMITED
Category / Sub-Category of the Company	Public Company/Limited by Shares
Address of the registered office and contact details	Capitol House, 10 Paras-II, Near Campus Corner, Prahaldnagar, Anand Nagar Road Ahmedabad Gujarat INDIA 380015
Whether listed company (Yes/No)	Yes- National Stock Exchange of India (NSE) Code: WEALTH
Telephone Number	Tele: 079-40240000 Fax: 079-40240081
E-mail I'd	manish@wealthfirst.biz
Website	www.wealth-firstonline.com
Name, address and contact details of Registrar and Transfer Agent, if any	Name: Bigshare Services Private Ltd Address: E-2 & 3, Ansa Industrial Estate, Saki-Vihar Road., Sakinaka, Andheri(E), Mumbai, Maharashtra 400072 Contact No: 022 4043 0200

II. Principal Business Activities of the Company:

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr No	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
01	Sales of Bonds and Equity	66120	93.40

III. Particulars of holding, subsidiary and Associate Companies: N.A

Sl. No.	Name and address of the company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
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b) Individuals									
i) Individuals shareholders holding nominal shares capital Upto Rs. 1 Lac	0	0	0	0	993000	0	993000	15.53	15.53
ii) Individuals shareholders holding nominal shares capital in excess of Rs. 1 lac	0	0	0	0	525000	0	525000	8.21	8.21
c) Any others (specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL B(2)	0	0	0	0	1683150	0	1683150	26.33	26.33
Total Public Share-Holding (B)= (B)(1)+(B)(2)	0	0	0	0	1683150	0	1683150	26.33	26.33
C. Shares held by Custodian For ADRs/GDRs	0	0	0	0	0	0	0	0	0
Grand Total (A + B + C)	0	1177500	1177500	100	6393000	0	6393000	100	-

*Company has issued 35,32,500 Equity Shares as Bonus Shares on 09th of October, 2015 and issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on 23rd of March, 2016.

(ii) Shareholding of Promoters:

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Ashish N Shah	500000	42.46	-	1999850	31.28	-	-11.18
2	Hena A Shah	500000	42.46	-	2000000	31.28	-	-11.18
3	DSFS Shares and Stock Broking Private Limited	20000	1.70	-	80000	1.25	-	-0.45
4	Wealth First Commodities private limited	60000	5.10	-	240000	3.75	-	-1.35
5	Dalal & Shah Fiscal Services Ltd	7500	0.65	-	30000	0.47	-	-0.18
6	Oraculo Stockbrokers Private Limited	55000	4.68	-	220000	3.44	-	-1.24
7	Wealth First Advisors Private Limited	-	-	-	-	-	-	-

8	Navnitlal Popatlal Shah	7000	0.59	-	56000	0.88	-	0.29
9	Navnitlal Popatlal Shah HUF	7000	0.59	-	28000	0.44	-	-0.15
10	Vipin Parshottamdas Shah	7000	0.59	-	28000	0.44	-	-0.15
11	Vipin Parshottamdas Shah HUF	7000	0.59	-	28000	0.44	-	-0.15
12	Kusum Navnitlal Shah	7000	0.59	-	0	0	-	-0.59

*Company has issued 35,32,500 Equity Shares as Bonus Shares on 09th of October, 2015 and issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on 23rd of March, 2016.

(iii) Change in Promoter's Shareholding (please specify, if there is no change):

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Ashish N. Shah							
At the beginning of the year	01.04.2015			500000	42.46	500000	42.46
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	09.10.2015	Bonus Shares Allotment	1500000			2000000	42.46
	23.03.2016	Transfer of Shares	(150)			1999850	31.28
At the end of the year	31.03.2016					1999850	31.28
Hena A. Shah							
At the beginning of the year	01.04.2015			500000	42.46	500000	42.46
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	09.10.2015	Bonus Shares Allotment	1500000			2000000	42.46
	31.03.2016					2000000	31.28
DSFS Shars and Stockbroking Pvt. Ltd							
At the beginning of the year	01.04.2015			20000	1.70	20000	1.70

Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	60000			80000	1.70
At the end of the year	31.03.2016					80000	1.25
Oraculo Stockbrokers Pvt Ltd							
At the beginning of the year	01.04.2015			55000	4.68	55000	4.68
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	165000			220000	4.68
At the end of the year	31.03.2016					220000	3.44
Wealth First Commodities Pvt Ltd							
At the beginning of the year	01.04.2015			60000	5.10	60000	5.10
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	180000			240000	5.10
At the end of the year	31.03.2016					240000	3.75
Dalal And Shah Fiscal Services Ltd							
At the beginning of the year	01.04.2015			7500	0.65	7500	0.65
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	22500			30000	0.65

At the end of the year	31.03.2016					30000	0.47
Navnitlal Popatlal Shah							
At the beginning of the year	01.04.2015			7000	0.59	7000	0.59
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	21000			28000	0.59
	26.10.2015	Transfer of Shares	28000			56000	1.19
At the end of the year	31.03.2016					56000	0.88
Navnitlal Popatlal Shah HUF							
At the beginning of the year	01.04.2015			7000	0.59	7000	0.59
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	21000			28000	0.59
At the end of the year	31.03.2016					28000	0.44
Vipin Parshottamdas Shah							
At the beginning of the year	01.04.2015			7000	0.59	7000	0.59
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	09.10.2015	Bonus Shares Allotment	21000			28000	0.59
At the end of the year	31.03.2016					28000	0.44
Vipin Parshottamdas Shah HUF							
At the beginning of the year	01.04.2015			7000	0.59	7000	0.59
Date wise increase/ Decrease in Promoters shareholding during the year specifying the	09.10.2015	Bonus Shares Allotment	21000			28000	0.59

reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2016					28000	0.44
Kusum Navnitlal Shah							
At the beginning of the year	01.04.2015			7000	0.59	7000	0.59
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	09.10.2015	Bonus Shares Allotment	21000			28000	0.59
	26.10.2015	Transfer of Shares	(28000)			0	0
At the end of the year	31.03.2016					0	0

*Company has issued 35,32,500 Equity Shares as Bonus Shares on 09th of October, 2015 and issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on 23rd of March, 2016.

**(iv) Shareholding Pattern of top ten Shareholders
(Other than directors, Promoters and Holders of GDRs and ADRs):**

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/ Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Innovate Securities Private Limited							
At the beginning of the year	01.04.2015			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	23.03.2016	Allotment of Shares	84000			84000	1.31
	23.03.2016	Transfer of Shares	150			84150	1.32
At the end of the year	31.03.2016					84150	1.32
Pratul K. Shroff							
At the beginning of the year	01.04.2015			0	0	0	0
Date wise increase/ Decrease in Promoters	23.03.2016	Allotment of Shares	81000			81000	1.27

shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2016					81000	1.27
Jitendra B. Shah							
At the beginning of the year	01.04.2015			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	23.03.2016	Allotment of Shares	39000			39000	0.61
At the end of the year	31.03.2016					39000	0.61
Dr. Sandip Shah							
At the beginning of the year	01.04.2015			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	23.03.2016	Allotment of Shares	39000			39000	0.61
At the end of the year	31.03.2016					39000	0.61
Hasmukh R. Devani							
At the beginning of the year	01.04.2015			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	23.03.2016	Allotment of Shares	33000			33000	0.52
At the end of the year	31.03.2016					33000	0.52
Udyan N. Patel							
At the beginning of the year	01.04.2015			0	0	0	0

Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	23.03.2016	Allotment of Shares	33000			33000	0.52
At the end of the year	31.03.2016					33000	0.52
Edelweiss Broking Limited							
At the beginning of the year	01.04.2015			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	31.03.2016	Purchase of Shares	21000			21000	0.33
At the end of the year	31.03.2016					21000	0.33
Bimla Rani	01.04.2015			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	23.03.2016	Allotment of Shares	18000			18000	0.28
At the end of the year	31.03.2016					18000	0.28
Rahul G. Shah	01.04.2015			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonu s/sweat equity etc)	23.03.2016	Allotment of Shares	18000			18000	0.28
At the end of the year	31.03.2016					18000	0.28
Swati V. Devanhally	01.04.2015			0	0	0	0
Date wise increase/ Decrease in Promoters shareholding during the year specifying the	23.03.2016	Allotment of Shares	18000			18000	0.28

reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)							
At the end of the year	31.03.2016					18000	0.28

* Company has issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on 23rd of March, 2016.

(v) Shareholding of Directors and Key managerial Personnel:

Particulars	Date	Reason for increase/decrease	No. of Shares Increased/Decreased	Shareholding at the beginning of the year		Cumulative shareholding during the year	
Ashish N. Shah							
At the beginning of the year	01.04.2015			500000	42.46	500000	42.46
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	09.10.2015	Bonus Shares Allotment	1500000			2000000	42.46
	23.03.2016	Transfer of Shares	(150)			1999850	31.28
At the end of the year	31.03.2016					1999850	31.28
Hena A. Shah							
At the beginning of the year	01.04.2015			500000	42.46	500000	42.46
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	09.10.2015	Bonus Shares Allotment	1500000			2000000	42.46
At the end of the year	31.03.2016					2000000	31.28
Harish V. Gandhi							
At the beginning of the year	01.04.2015	-	-	-	-	-	-
Date wise increase/Decrease in Promoters shareholding during the year specifying the reasons for	-	-	-	-	-	-	-

increase/decrease (e.g. allotment/transfer/bonuses/sweat equity etc)							
At the end of the year	31.03.2016	-	-	-	-	-	-
Rajan B. Mehta							
At the beginning of the year	01.04.2015	-	-	-	-	-	-
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonuses/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2016	-	-	-	-	-	-
Devanshu R. Mehta							
At the beginning of the year	01.04.2015	-	-	-	-	-	-
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonuses/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2016	-	-	-	-	-	-
Manish D. Kansara							
At the beginning of the year	01.04.2015	-	-	-	-	-	-
Date wise increase/ Decrease in Promoters shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonuses/sweat equity etc)	-	-	-	-	-	-	-
At the end of the year	31.03.2016	-	-	-	-	-	-
Aayush K. Shah							
At the beginning of the year	01.04.2015	-	-	-	-	-	-
Date wise increase/ Decrease in Promoters shareholding during the year specifying the	-	-	-	-	-	-	-

reasons for increase/decrease (e.g. allotment/transfer/bonuses/sweat equity etc)							
At the end of the year	31.03.2016	-	-	-	-	-	-

*Company has issued 35,32,500 Equity Shares as Bonus Shares on 09th of October, 2015 and issued 16,83,000 Equity Shares through Initial Public Offer (IPO) dated on 23rd of March, 2016.

V. Indebtedness:

Indebtedness of the Company including interest outstanding / accrued but not due for payment:

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	5,10,58,594	97,344	19,98,000	5,31,53,938
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	5,10,58,594	97,344	19,98,000	5,31,53,938
Change in indebtedness during the financial year				
i) Addition	4,13,47,13,644	7,27,98,139	10,04,24,000	4,30,79,35,783
ii) Reduction	4,09,13,08,041	6,83,95,483	9,95,33,000	4,25,92,36,524
Net Change	4,34,05,603	44,02,656	8,91,000	4,86,99,259
Indebtedness at the end of the financial year				
i) Principal Amount	9,44,64,197	45,00,000	28,89,000	10,18,53,197
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	9,44,64,197	45,00,000	28,89,000	10,18,53,197

VI. Remuneration of directors and key managerial personnel-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount
		Ashish N. Shah	Hena A. Shah	
1.	Gross salary	41,50,000	17,50,000	59,00,000
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of profit - others, specify	-	-	-
5	Others, please specify	-	-	-
	Total (A)	41,50,000	17,50,000	59,00,000
	Ceiling as per the Act	As per the Companies Act, 2013.		

B. Remuneration to other directors:

Particulars of remuneration	Name of Independent Directors		
	Devanshu Mehta	Premal Mehta	Harish Gandhi
Fee for attending Board Meeting	-	-	-
Fee for attending Committee Meeting	-	-	-
Commission	-	-	-
Others, please specify	-	-	-
Total	-	-	-

C. Remuneration to key managerial personnel other than MD/Manager/WTD:

Sl. No.	Particulars of Remuneration	Key Managerial Personnel (Amount in Rs.)		
		Manish Kansara (CFO)	Aayush Shah (CS)	Total
1	Gross salary	7,75,834	1,34,000	9,09,834
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total	7,75,834	1,34,000	9,09,834

VII. Penalties / Punishment/ Compounding of Offences: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	None				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	None				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	None				
Punishment					
Compounding					