



REF: WEALTH/NSE/2016-17

14.10.2016

To,
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai-400051

Equity Scrip Name: WEALTH

Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

Find herewith attached Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the half year ended on 30th September, 2016 issued by Kunal Sharma, Practicing Company Secretary, Ahmedabad.

We request you to take the same in your record.

Yours faithfully,

FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED

ASHISH N. SHAH
MANAGING DIRECTOR
DIN: 00089075





Bigshare Services Pvt. Ltd.

A/802, Samudra Complex, Near Klassic Gold Hotel, Girish Cold Drink, Off C. G. Road, Ahmedabad - 380 009, Gujarat. • Tel: 079 4002 4135
Email: bssahd@bigshareonline.com • website: www.bigshareonline.com

SEBI
REGISTERED CATEGORY I
REGISTRAR & TRANSFER
AGENT



Ref No.BSS/Wealth First/Regulation 40(9)

Date : 10.10.2016

WEALTH FIRST PORTFOLIO MANAGERS LTD.
CAPITAL HOUSE 10 PARAS II
PRAHLAD NAGAR , ANAND NAGAR
AHMEDABAD :380051

Dear Sir/Madam,

Sub : Regulation 40(9)

This is to inform you that :

No Shares have been received or pending for Transfer/Transmission/ Duplicate / Split / Remat / Consolidation during the period from 01.07.2016 to 30.09.2016.

Thanking you,

Yours faithfully,
For Bigshare Services Pvt Ltd.

Authorised Signatory,

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2016

I have examined relevant Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s Wealth First Portfolio Managers Limited ("the Company")** as maintained by **M/s Bigshare Services Private Limited, Ahmedabad ("the RTA")** pertaining to transfer of equity shares of the Company for the half year period from **01st April, 2016 to 30th September, 2016 ("the Period")** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 by the Company and on the basis of relevant information together with necessary management representations as provided by the Company, I hereby certify that during the period:

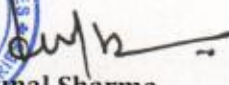
- (a) There were no instances of transfer/transmission, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period.

Date: 13th October, 2016

Place: Ahmedabad



For, Kunal Sharma & Associates
Company Secretaries


Kunal Sharma
Proprietor
M. No: A34708
CP No: 12987