

12th October, 2018

To Manager - Listing Department National Stock Exchange of India Ltd. Exchange Plaza, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai-400051

REF: WEALTH FIRST PORTFOLIO MANAGERS LIMITED

SCRIP CODE: WEALTH

Sub: Submission of Compliance Certificate certifying maintaining physical and electronic transfer facility in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2018.

Dear Sir/Madam,

Find herewith attached Compliance Certificate certifying maintaining physical and electronic transfer facility for the half year ended 30th of September, 2018 in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We request you to take it in your record.

Thanking you.

Yours faithfully,

ASHISH SHAH

MANAGING DIRECTOR

DIN: 00089075

Encl: Compliance Certificate

Wealth First Portfolio Managers Limited

FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED

Capitol House, 10, Paras-II, Near Prahladnagar Garden, Ahmedabad - 380 015 **♦** +91 79 40240000 contact@wealthfirst.biz www.wealth-firstonline.com **CIN** - L67120GJ2002PLC040636



12th October, 2018

To Manager - Listing Department National Stock Exchange of India Ltd. Exchange Plaza, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai-400051

Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30.09.2018

Dear Sir / Madam,

Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all activities in relation to both physical and electronic share transfer facility are maintained by our Share Transfer Agent viz. Bigshare Services Pvt. Ltd.

Kindly take note of the above.

Thanking you,

Yours faithfully,

Aayush Shah Compliance Officer

For Wealth First Portfolio Managers Ltd

(Authorised Signatory)

For Bigshare Services Pvt. Ltd.

Wealth First Portfolio Managers Limited

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