



**WEALTH/NSE/2017-18**

Date: 23/10/2017

To,  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai-400051

**Equity Scrip Name: WEALTH**

Dear Sir/Madam,

**Sub: Submission of Compliance Certificate certifying maintaining physical and electronic transfer facility in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2017.**

Find herewith attached Compliance Certificate certifying maintaining physical and electronic transfer facility for half year ended 30<sup>th</sup> of September, 2017 in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We request you to take it in your record.

Thanking you.

Yours faithfully,

**FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED**

*Hena A Shah*

**HENA SHAH  
WHOLE-TIME DIRECTOR  
DIN: 00089161**





Date: 23/10/2017

TO:

National Stock Exchange of India Ltd.  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex,  
Bandra (E)  
Mumbai - 400 051

Dear Sir / Madam,

**Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30.09.2017**

Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all activities in relation to both physical and electronic share transfer facility are maintained by our Share Transfer Agent viz. Bigshare Services Pvt. Ltd.

Kindly take note of the above.

Thanking you,

Yours faithfully,  
For Wealth First Portfolio Managers Ltd

*Aayush Shah*

Aayush Shah  
Compliance Officer



For Bigshare Services Pvt. Ltd.

*[Signature]*

(Authorised Signatory)