

Date: 6<sup>th</sup> April, 2019

To  
Manager - Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai-400051

**REF: WEALTH FIRST PORTFOLIO MANAGERS LIMITED**

**SCRIP CODE: WEALTH**

**Sub: Submission of Compliance Certificate certifying maintaining physical and electronic transfer facility in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2019.**

Dear Sir/Madam,

Find herewith attached Compliance Certificate certifying maintaining physical and electronic transfer facility for the half year ended 31<sup>st</sup> of March, 2019 in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We request you to take it in your record.

Thanking you.

Yours faithfully,

**FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED**

*Hena A Shah*

**HENA SHAH  
WHOLE-TIME DIRECTOR  
DIN: 00089161**



**Encl: Compliance Certificate**

**Wealth First Portfolio Managers Limited**

Capitol House, 10, Paras-II, Near Prahladnagar Garden, Ahmedabad - 380 015

☎ +91 79 40240000 ✉ contact@wealthfirst.biz 🌐 www.wealth-firstonline.com

CIN - L67120GJ2002PLC040636



**WEALTH FIRST**  
PORTFOLIO MANAGERS LIMITED  
Simple process. Expert wealth

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National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai-400051

**Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31<sup>st</sup> March, 2019**

Dear Sir / Madam,

Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all activities in relation to both physical and electronic share transfer facility are maintained by our Share Transfer Agent viz. Bigshare Services Pvt. Ltd.

Kindly take note of the above.

Thanking you,

Yours faithfully,

For Wealth First Portfolio Managers Ltd

*Aayush Shah*

Aayush Shah  
Compliance Officer



For Bigshare Services Pvt. Ltd.

*[Signature]*

(Authorised Signatory)



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