## PORTFOLIO MANAGEMENT TREASURY ADVISORY RISK ANALYSIS

CIN NO.: U67120GJ2002PLC040636



WEALTH/NSE/2016-17

Date: 23/04/2016

To,
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai-400051
Equity Scrip Name: WEALTH

Dear Sir/Madam

<u>Sub: Submission of Compliance Certificate certifying maintaining physical and electronic transfer</u>
<u>facility in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements)</u>
<u>Regulations, 2015 for the Fourth Quarter ended 31<sup>st</sup> March, 2016.</u>

Find herewith attached Compliance Certificate certifying maintaining physical and electronic transfer facility for the fourth Quarter ended 31<sup>st</sup> March, 2016 in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We request you to take it in your record.

Yours faithfully,

FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED

ASHISH N. SHAH
MANAGING DIRECTOR

DIN: 00089075

## PORTFOLIO MANAGEMENT TREASURY ADVISORY RISK ANALYSIS

CIN NO.: U67120GJ2002PLC040636



Date: 12th April, 2016

TO:

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400 051

Dear Sir / Madam,

## Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all activities in relation to both physical and electronic share transfer facility are maintained by our Share Transfer Agent viz. Bigshare Services Pvt. Ltd. having permanent SEBI Registration No:INR000001385

Kindly take note of the above.

Thanking you,

Yours faithfully,

For Wealth First Portfolio Managers Ltd

Aayush Shah

Compliance Officer

For Bigshare Services Pvt. Ltd.

Ashok Shetty Compliance Officer