



REF: WEALTH/NSE/2016-17

22.04.2016

To,
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai-400051
Equity Scrip Name: WEALTH

Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

Find herewith attached Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the fourth Quarter ended on 31st March, 2016 issued by Sagar R. Sharma & Co., Practicing Company Secretary, Ahmedabad.

We request you to take it in your record.

Yours faithfully,

FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED

Aayush Shah

**AAYUSH SHAH
COMPANY SECRETARY**



SAGAR R. SHARMA & CO.

207, Hemkoot Complex, B/h. LIC Building, Nr. Gandhigram Railway Station, Ashram Road,
Ahmedabad – 380009 (M) 8141 158 636 (O) 079 – 4005 1415 email: pcssagar@gmail.com

Date: 22nd April, 2016

To
The Board of Directors
Wealth First Portfolio Managers Limited
Capitol House, 10 Paras-II,
Near Campus Cornor,
Pralhadnagar, Anand Nagar,
Ahmedabad – 380051

Dear Sirs,

Sub: Compliance Certificate in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

I have examined the relevant registers, papers and documents that were considered necessary **Wealth First Portfolio Managers Limited**, a company registered under the Companies Act, 1956, having its registered office at **Capitol House, 10 Paras-II, Near Campus Cornor, Pralhadnagar, Anand Nagar Ahmedabad 380051**, produced before me by their Share Transfer Agents and the Compliance Officer of the Company, for the purpose of issuing the certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchange.

Based on my examination and explanations furnished to me, which to the best of my knowledge and belief were necessary for the purpose of certification, I hereby certify that during the half year ended on 31st March, 2016 the Company was not required to deliver any certificates within the period stipulated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, from the date of lodgement for transfer, transmission, duplicate, split, remat or consolidation during the period between 01.01.2016 to 31.03.2016.

Thanking You

Yours sincerely

**For Sagar R. Sharma & Company,
(Company Secretaries)**



**CS SAGAR SHARMA
(Proprietor)
Mem. No. 22591
C.P. No. 8549**

Place: Ahmedabad



Bigshare Services Pvt. Ltd.



Ref No. BSS/Wealth First/Regulation 40(9)
Date : 12.04.2016

WEALTH FIRST PORTFOLIO MANAGERS LTD
CAPITAL HOUSE 10 PARAS II
PRAHLAD NAGAR, ANAND NAGAR
AHMEDABAD : 380051

Dear Sir/Madam,

Sub : Regulation 40(9)

This is to inform you that:

No shares have been received or pending for Transfer/ Transmission/ Duplicate / Split/ Remat/ Consolidation during the period from 01.01.2016 to 31.03.2016.

Thanking you,

Yours faithfully,
For Bigshare Services Pvt.Ltd.

Authorised Signatory.

CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)

E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E), Mumbai - 400 072. India.
Tel. : +91 22 28470652 / 40430200 • Fax : +91 22 28475207 • Email : info@bigshareonline.com • Website : www.bigshareonline.com